

# The Dirac composite fermions in fractional quantum Hall effect

Dam Thanh Son (University of Chicago)  
Nambu Memorial Symposium  
March 12, 2016

# A story of a symmetry lost and recovered

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# Plan

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- Fractional Quantum Hall Effect (FQHE)

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- Composite fermions

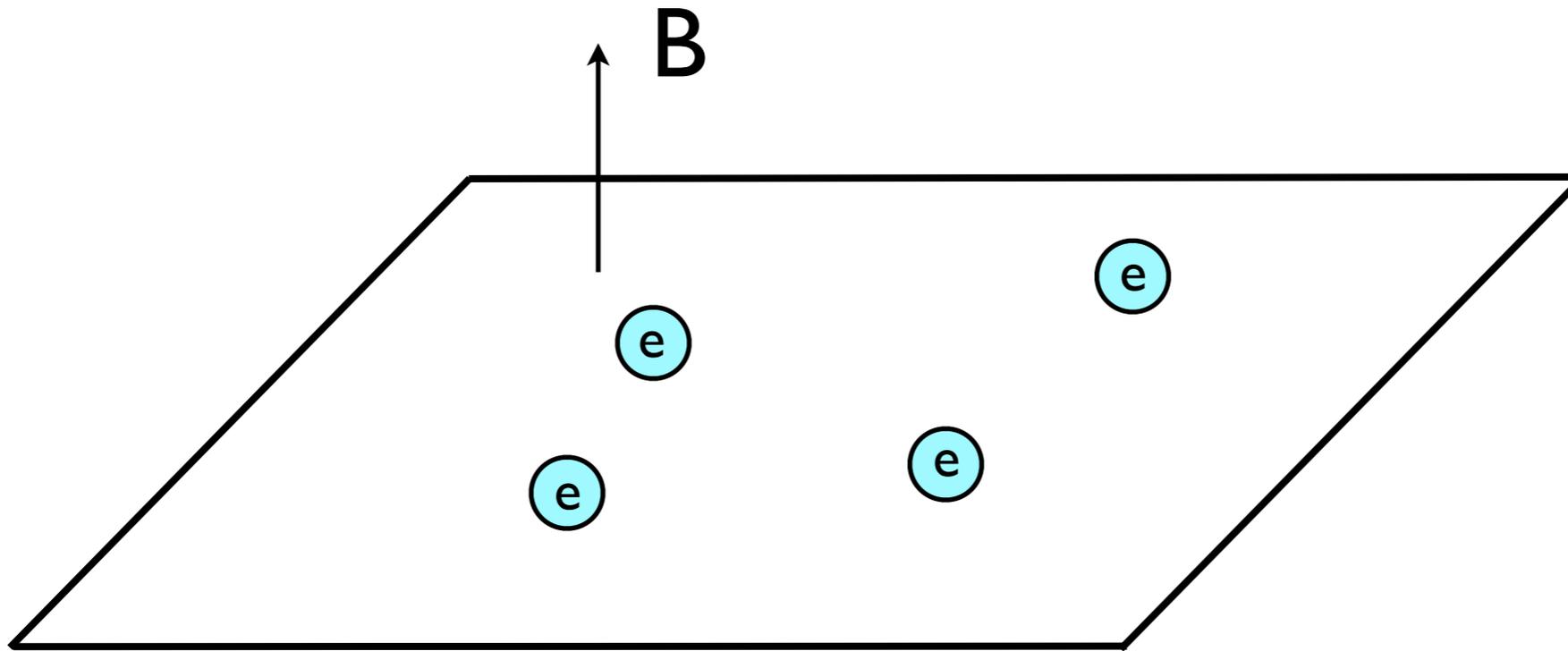
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- Fractional Quantum Hall Effect (FQHE)
- Composite fermions
- The old puzzle of particle-hole symmetry

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- The old puzzle of particle-hole symmetry
- Dirac composite fermions

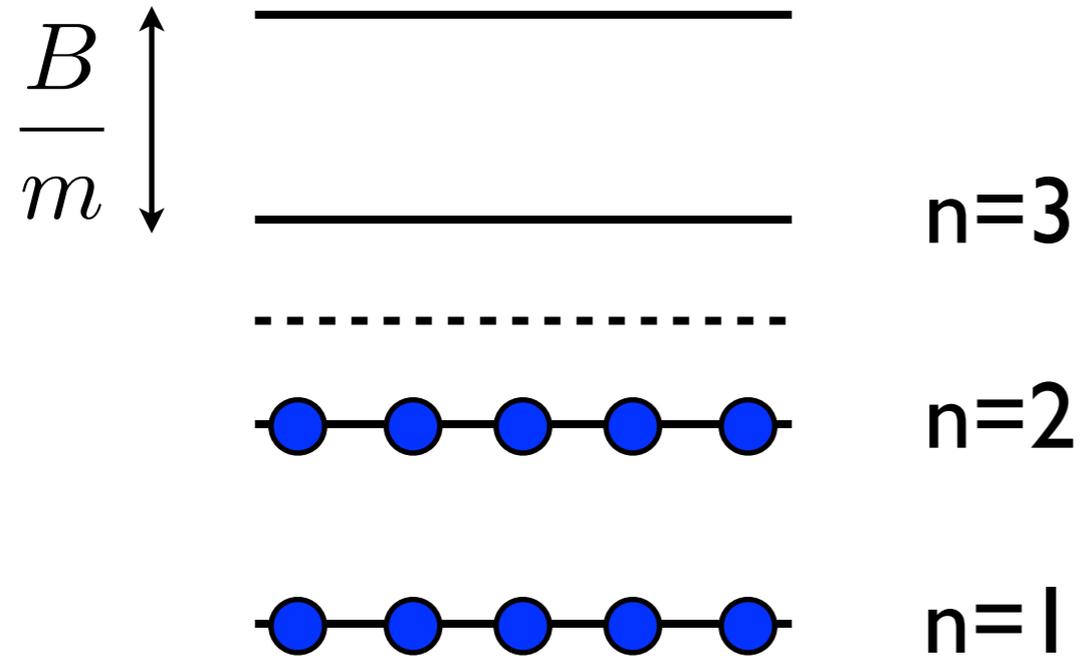
# The TOE of QHE



$$H = \sum_a \frac{(\mathbf{p}_a + e\mathbf{A}_a)^2}{2m} + \sum_{\langle a,b \rangle} \frac{e^2}{|\mathbf{x}_a - \mathbf{x}_b|}$$

# Integer quantum Hall state

- electrons filling  $n$  Landau levels

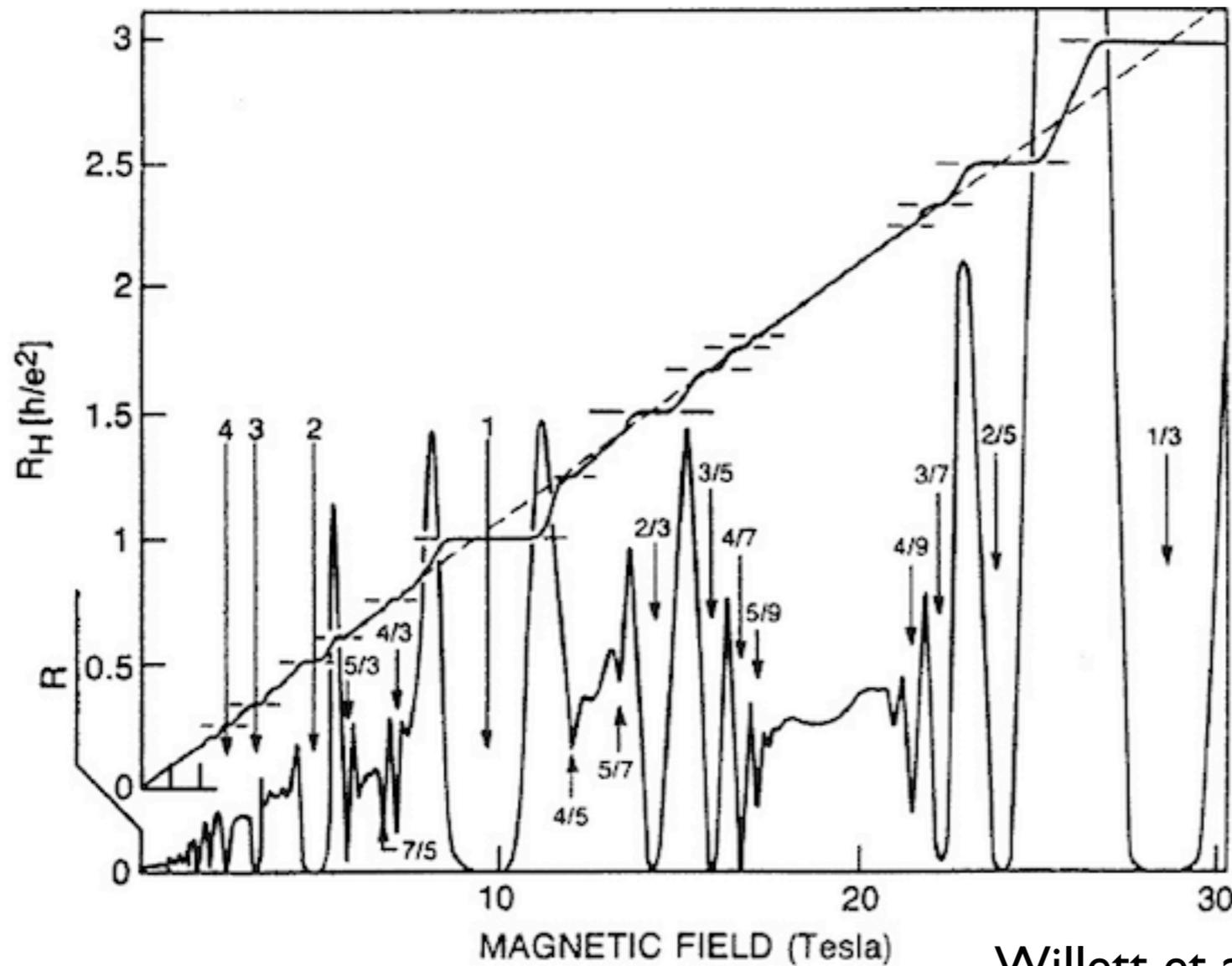


When  $\rho = n \frac{B}{2\pi}$

energy gap:  $\frac{B}{m}$

# 1982: Fractional QH effect

(Tsui, Stormer)



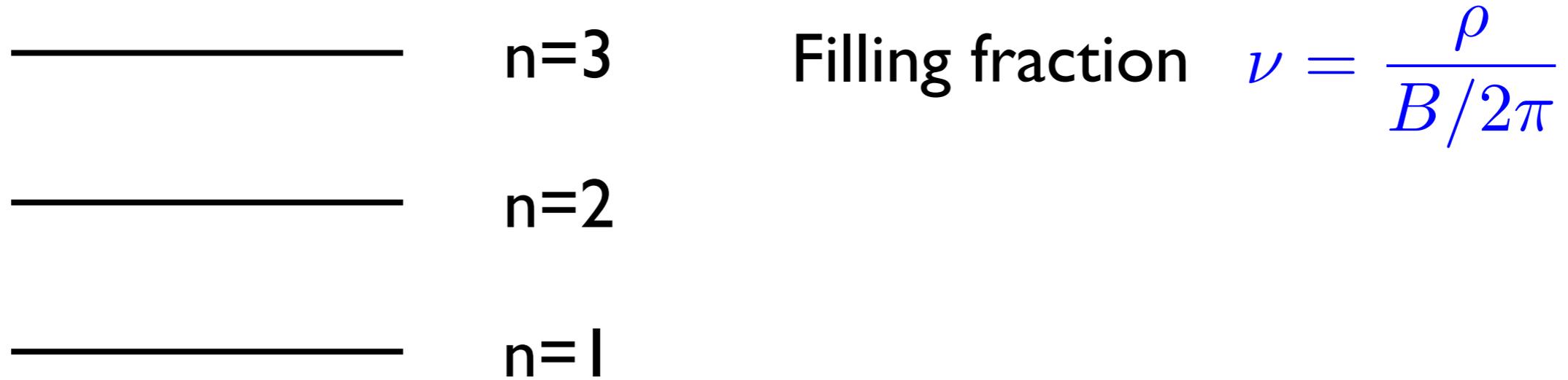
Willett et al 1987

$$\sigma_{xy} = \frac{1}{\rho_{xy}} = \frac{p e^2}{q h}$$

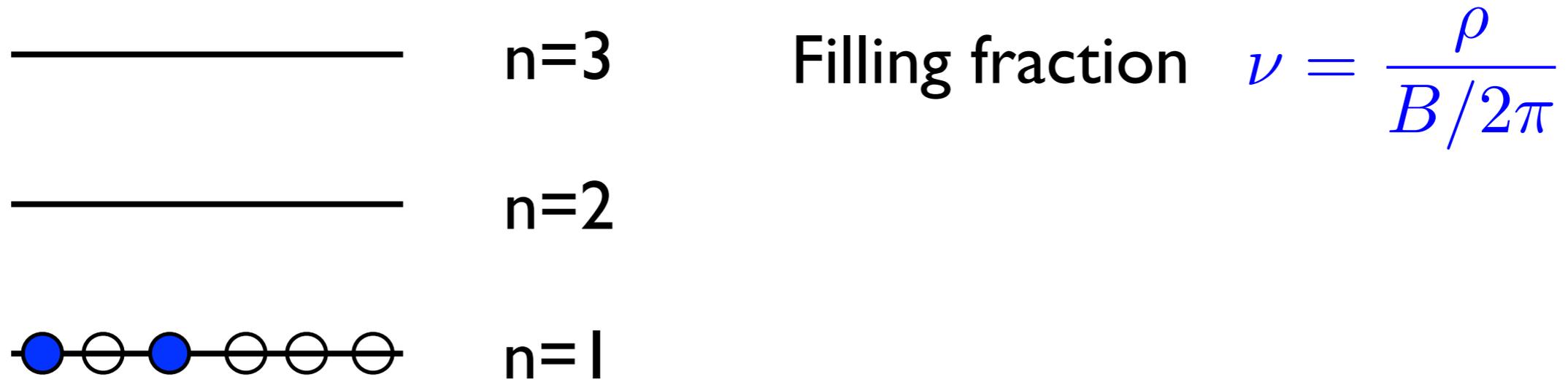
$$j_x = \sigma_{xx} E_x + \sigma_{xy} E_y$$

odd integer (most of the time)

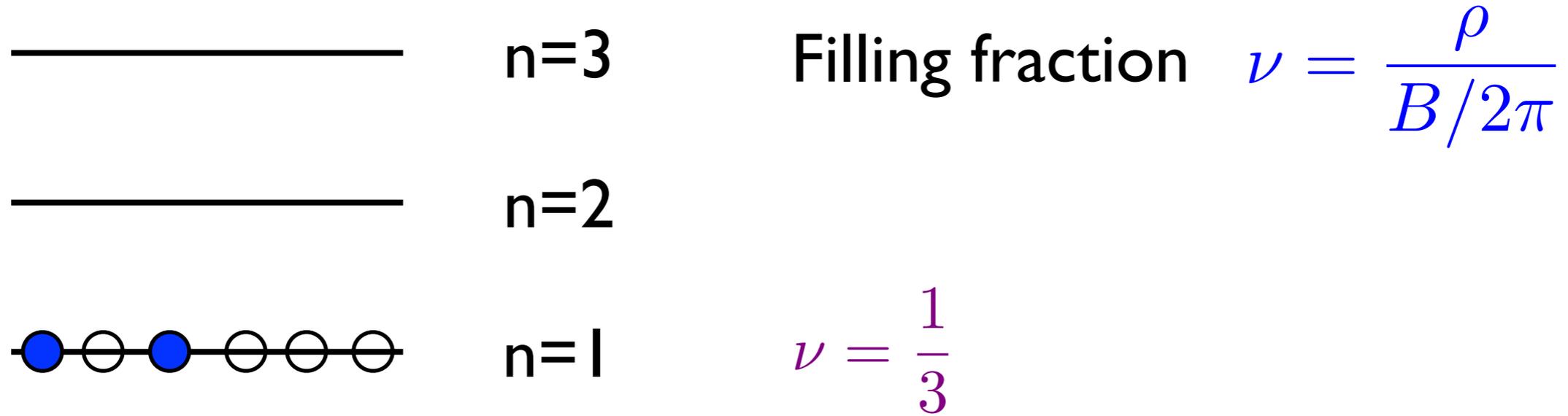
# Fractional QHE



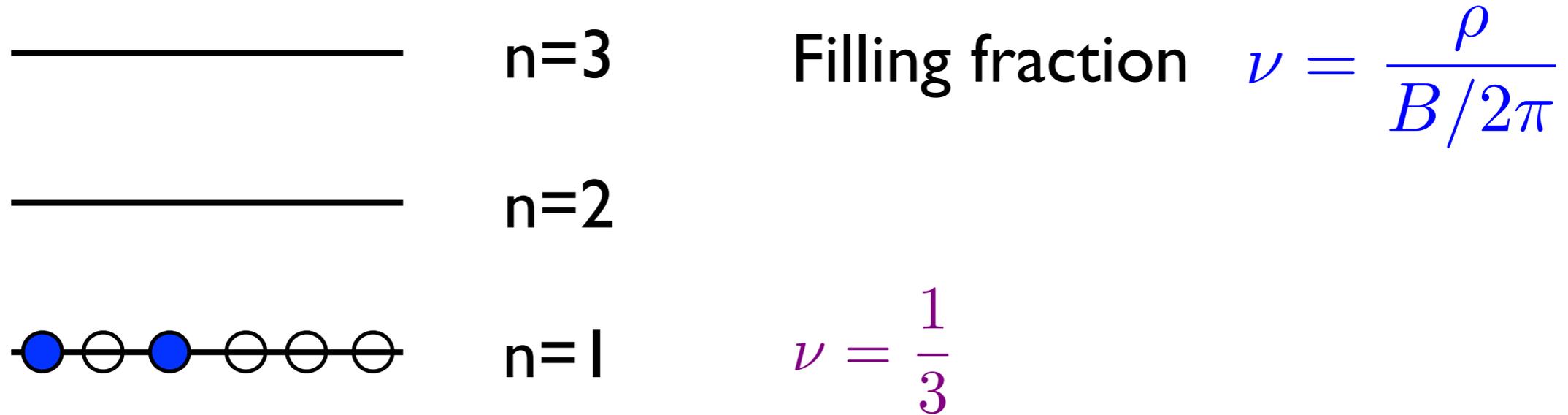
# Fractional QHE



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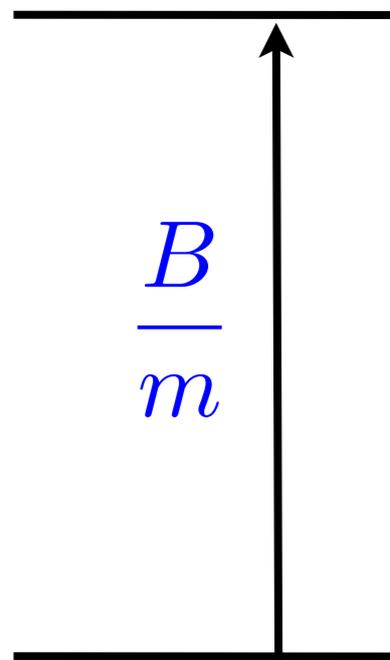
# Fractional QHE



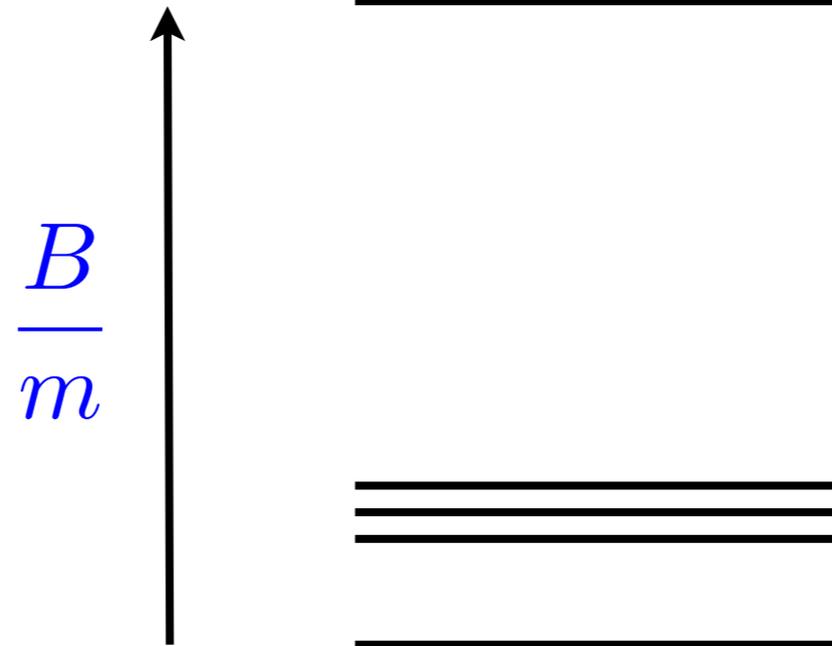
Large ground-state degeneracy without interactions

Experiments: energy gap for certain rational filling fractions

# Energy scales



IQH



FQH

$$\Delta \sim \frac{e^2}{r}$$

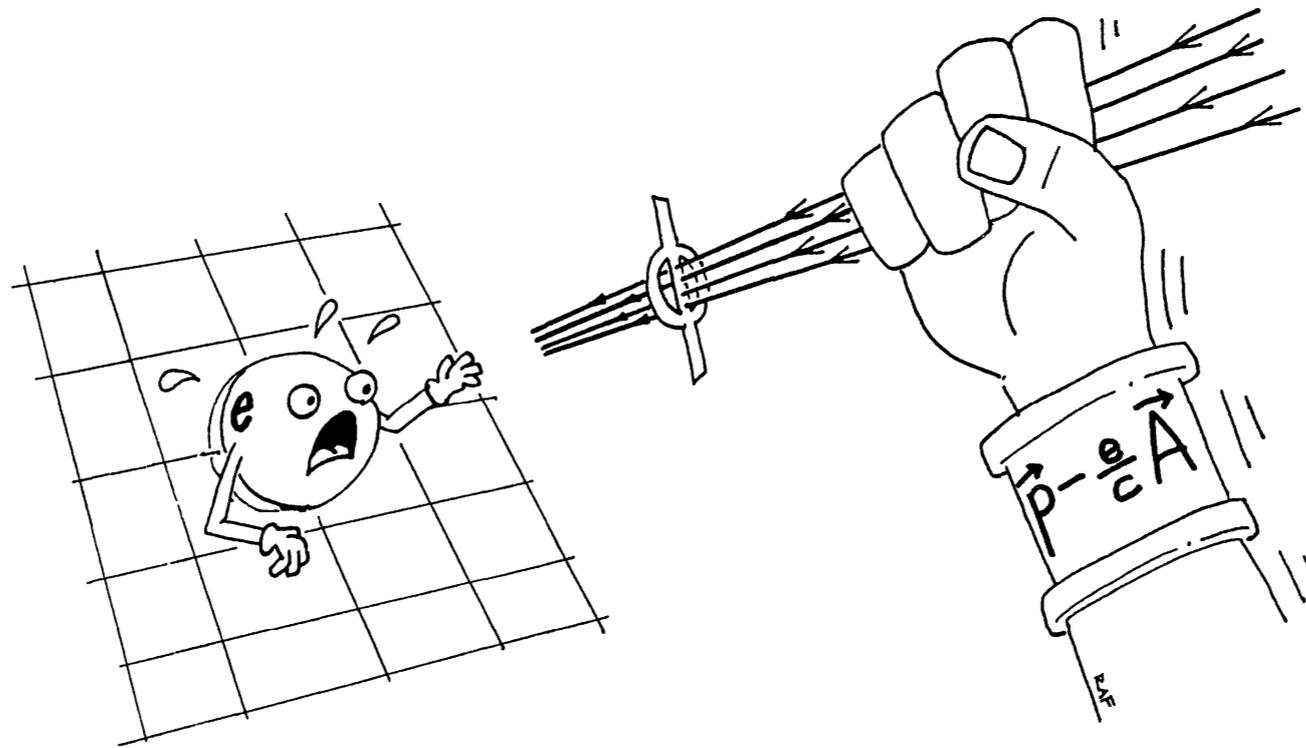
Lowest Landau level limit:  $\frac{B}{m} \gg \Delta$   
Perturbation theory useless

# The Standard Model of FQHE

$$H = P_{LLL} \sum_{a,b} \frac{e^2}{|\mathbf{x}_a - \mathbf{x}_b|}$$

↑  
Projection to  
lowest Landau level

# Flux attachment

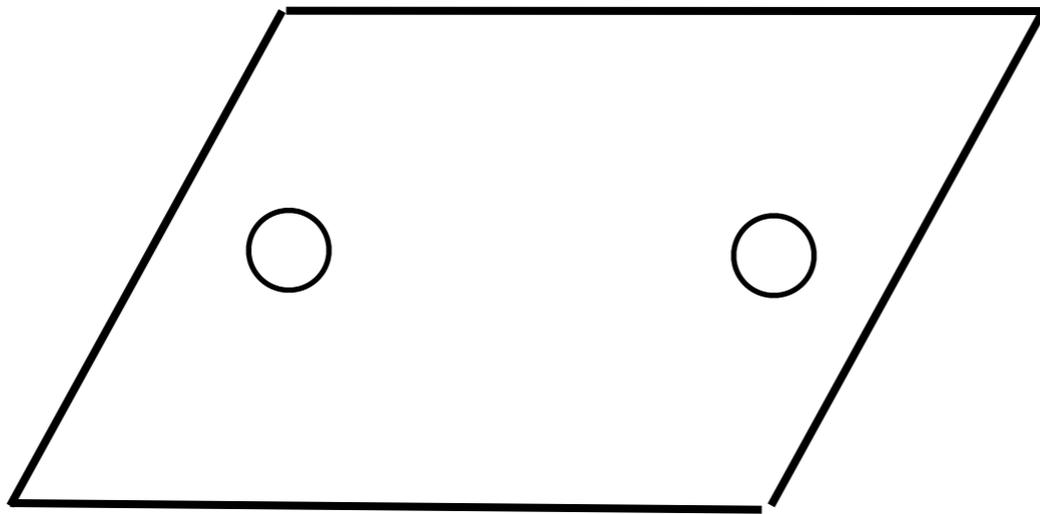


D. Arovas

# Flux attachment

(Wilczek 1982, Jain 1989)

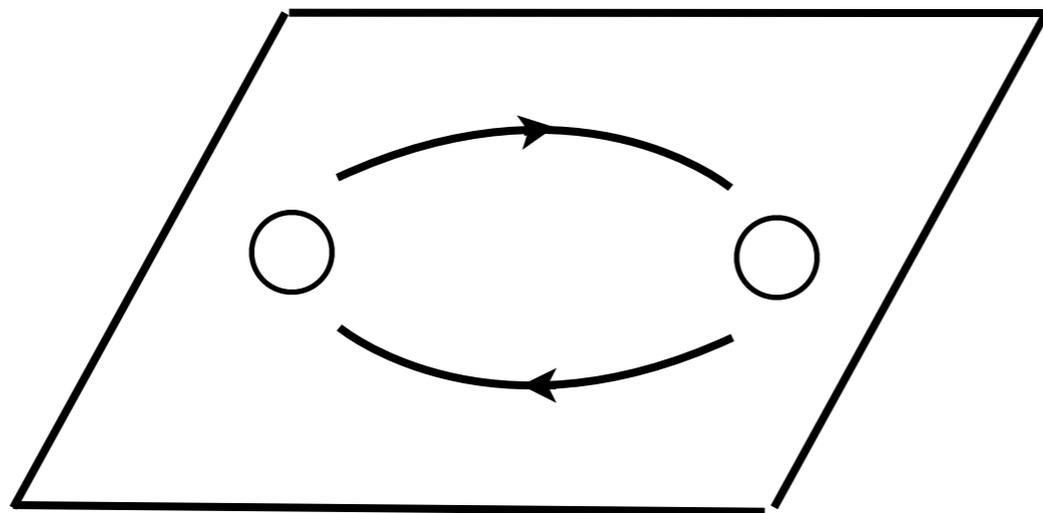
- Flux attachment: statistics does not change by attaching an even number of flux quanta



# Flux attachment

(Wilczek 1982, Jain 1989)

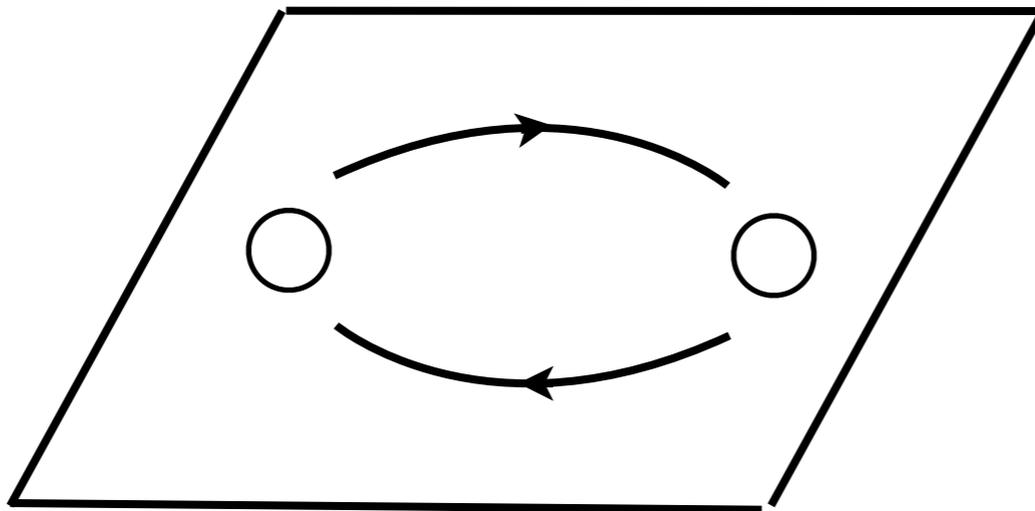
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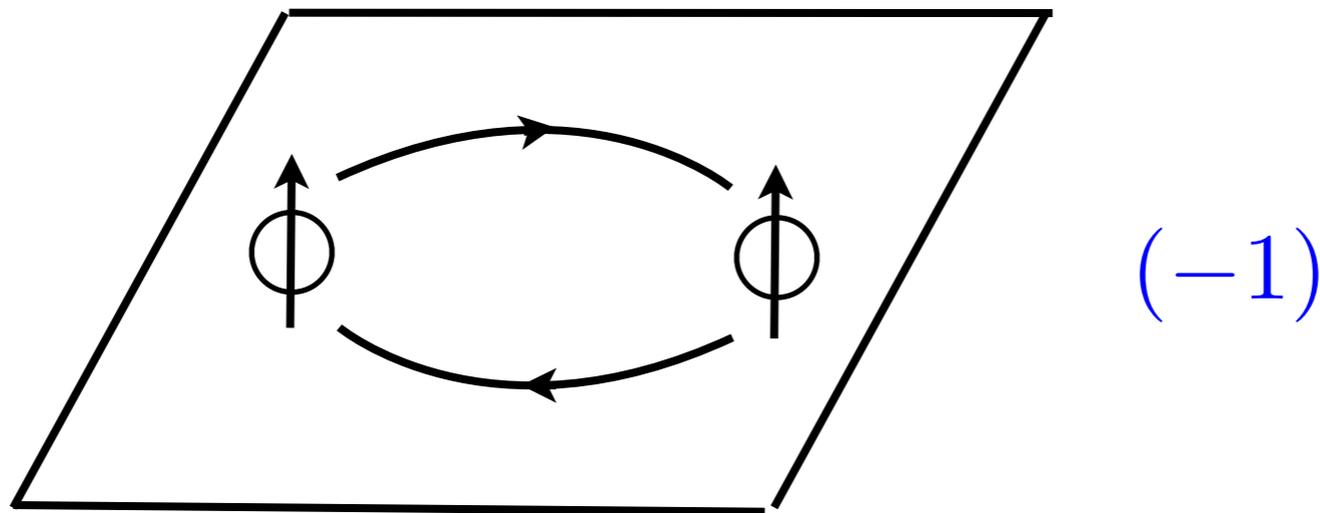


$(-1)$

# Flux attachment

(Wilczek 1982, Jain 1989)

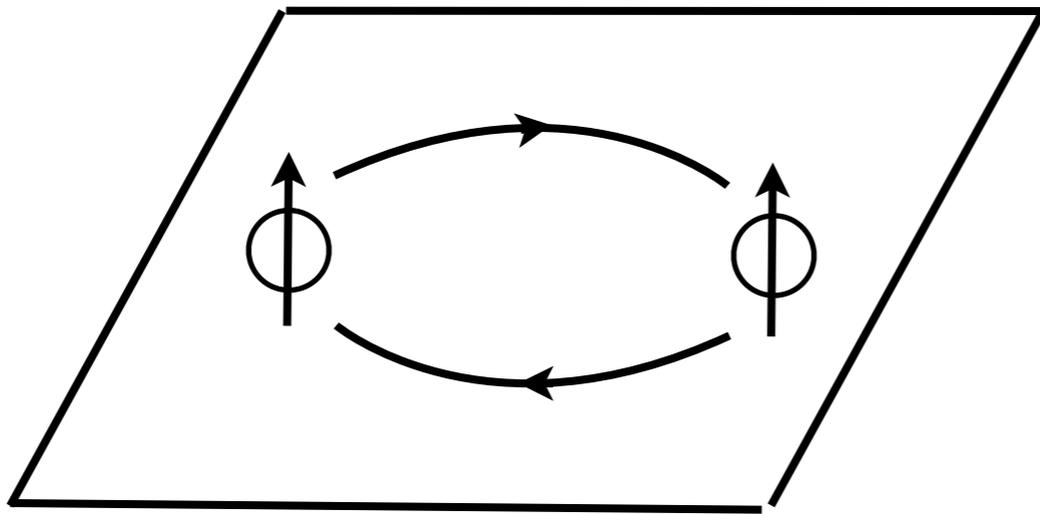
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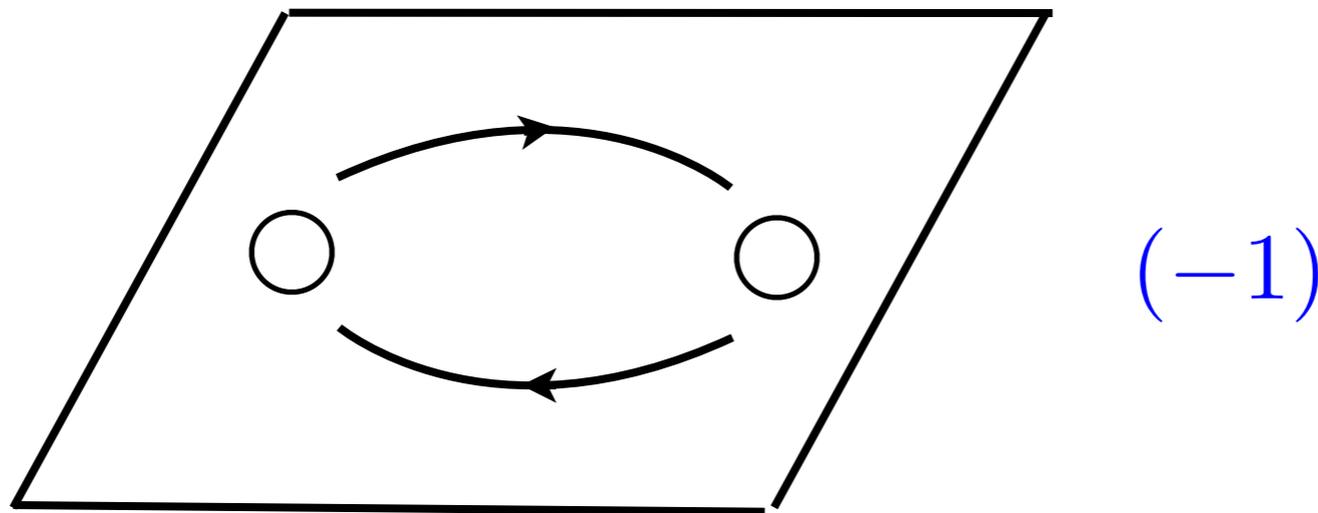


$$(-1) \exp(i\pi) = (+1)$$

# Flux attachment

(Wilczek 1982, Jain 1989)

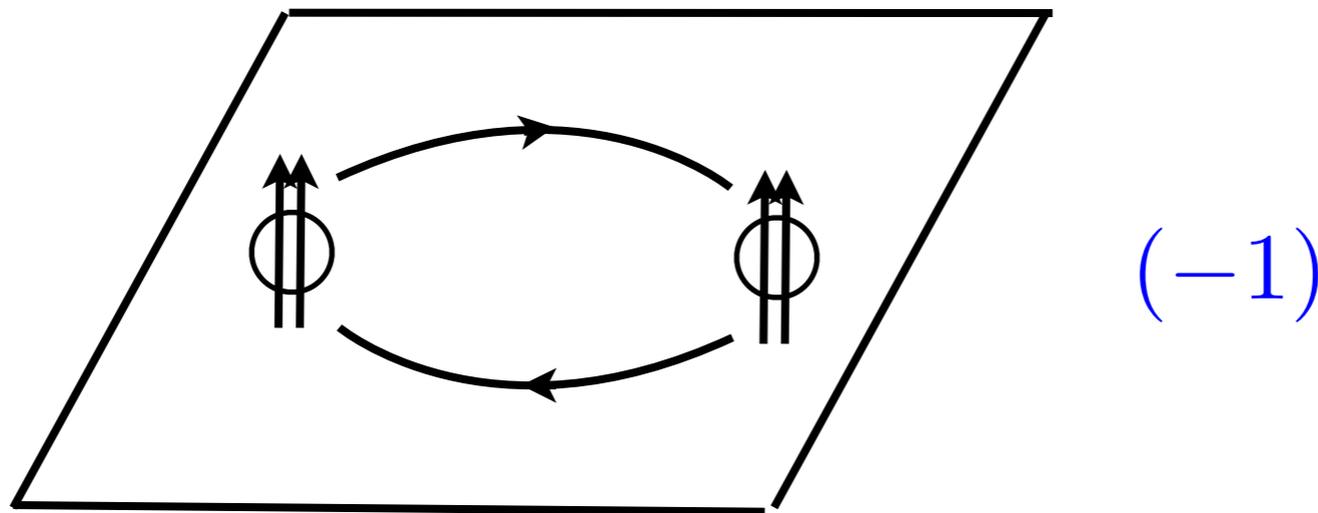
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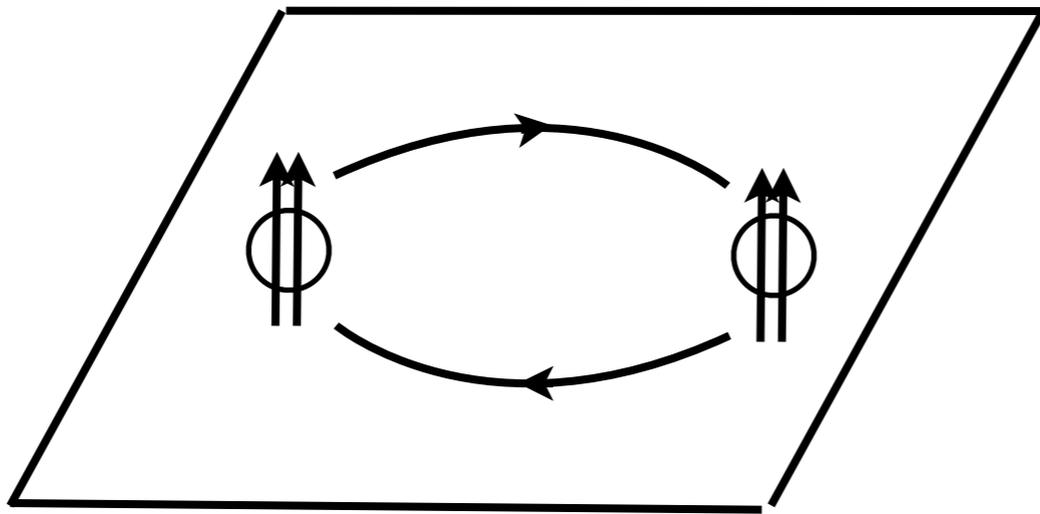
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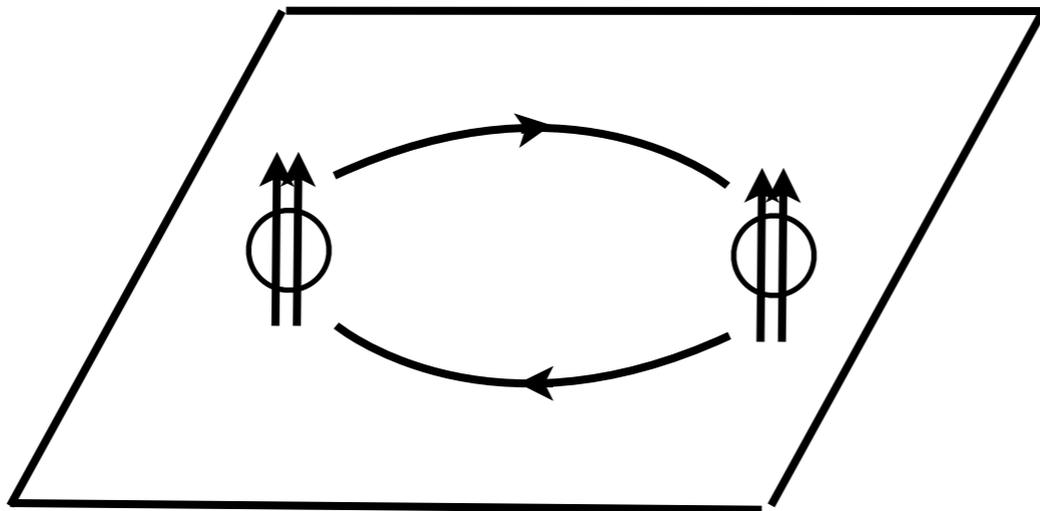


$$(-1) \exp(2i\pi) = (-1)$$

# Flux attachment

(Wilczek 1982, Jain 1989)

- Flux attachment: statistics does not change by attaching an even number of flux quanta

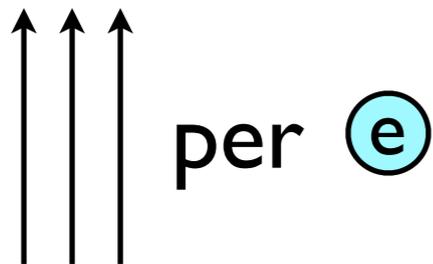
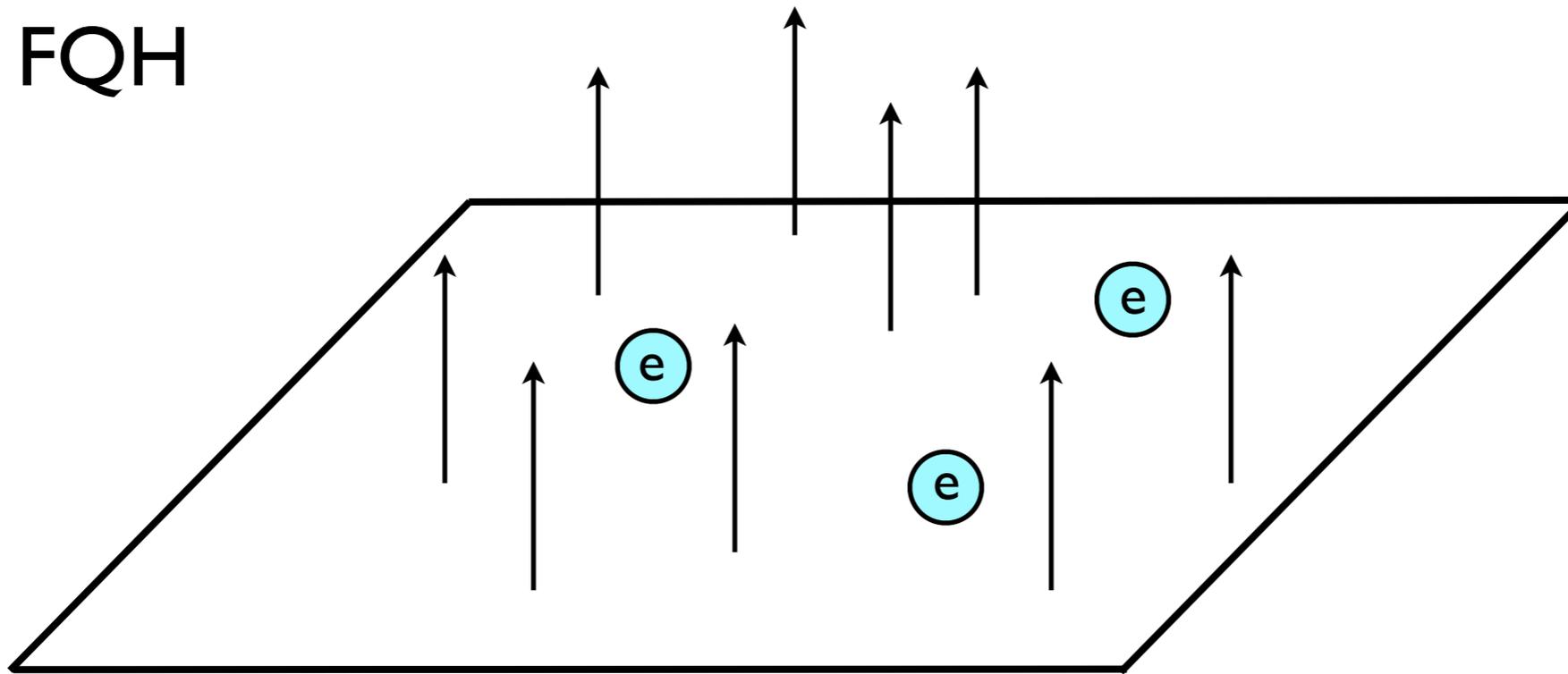


$$(-1) \exp(2i\pi) = (-1)$$

$$e = \text{CF}$$
A diagram showing an electron (e) represented by a light blue circle, followed by an equals sign, and then a composite fermion (CF) represented by a light blue circle with two red arrows pointing downwards from its bottom.

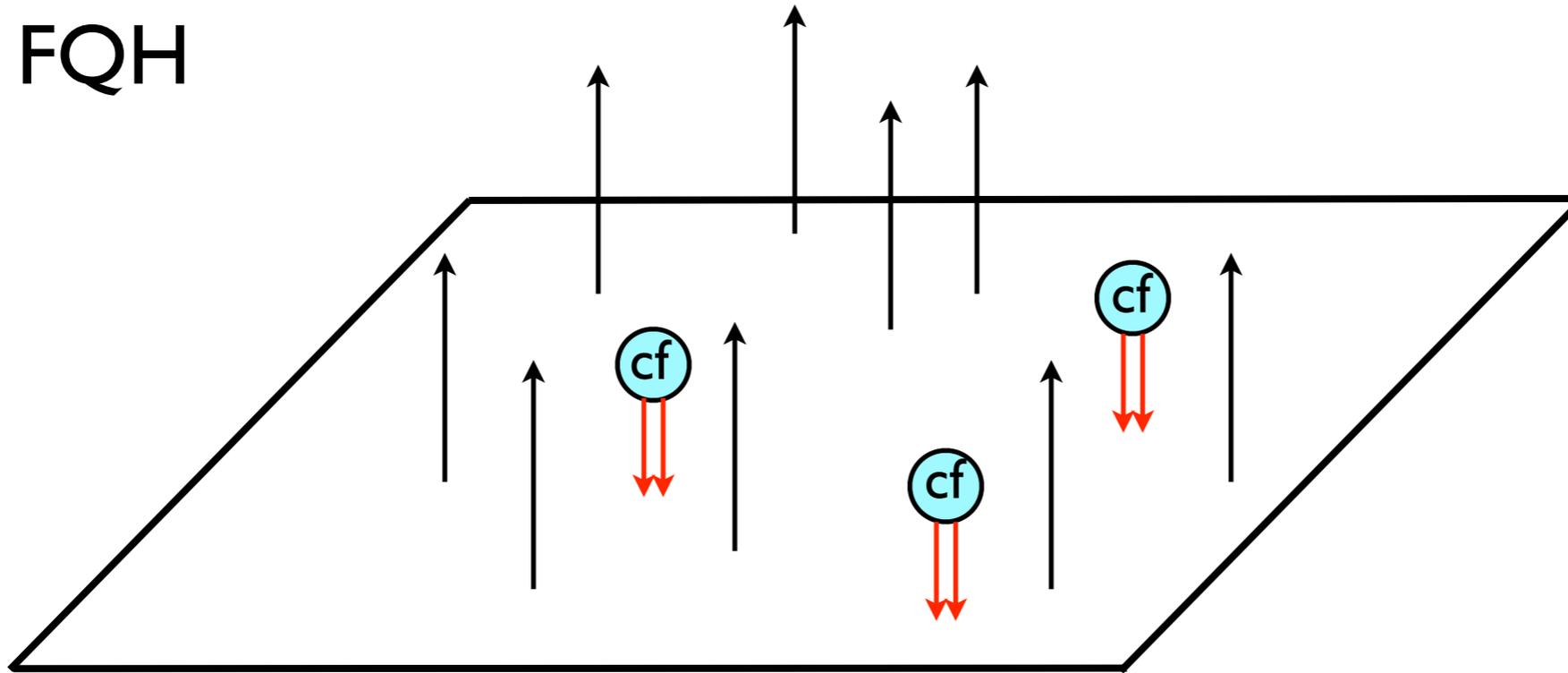
# Composite fermion

$\nu = 1/3$  FQH



# Composite fermion

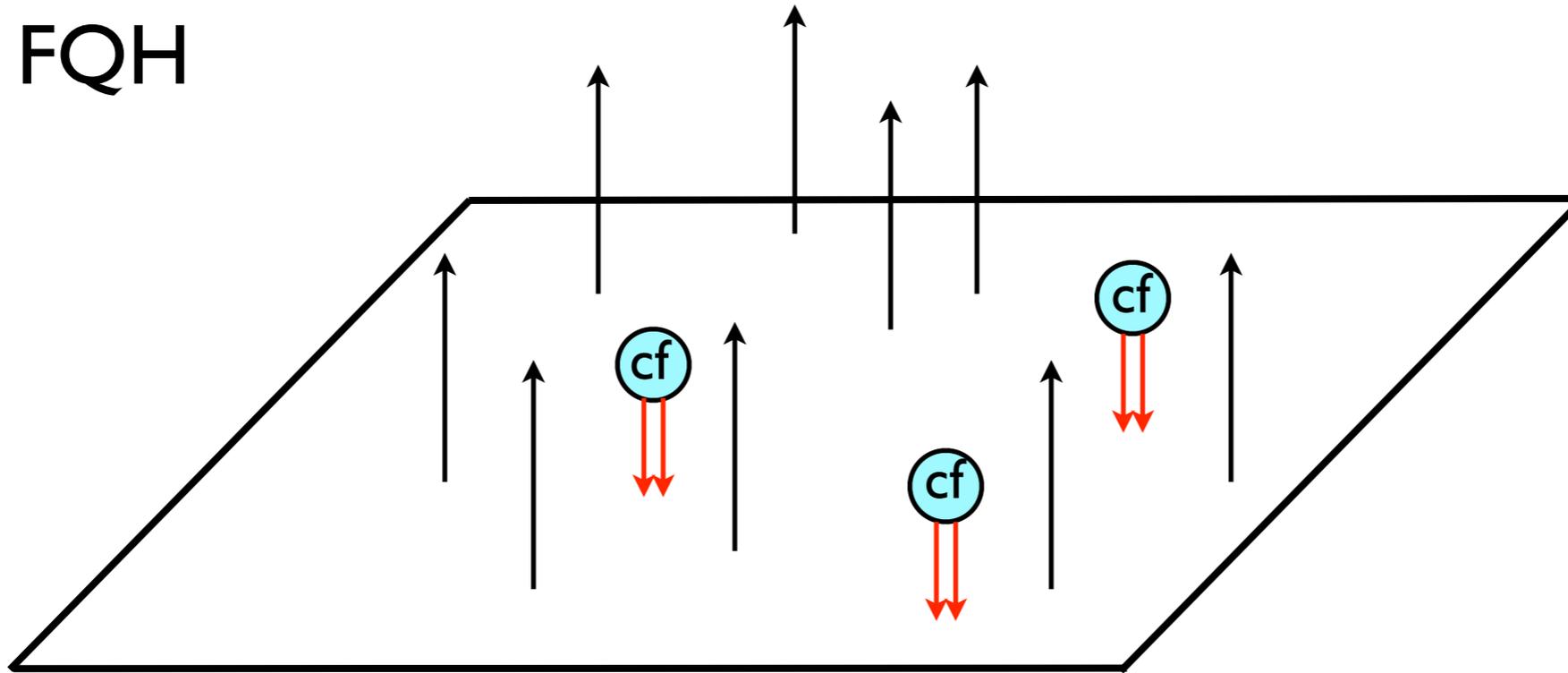
$\nu = 1/3$  FQH



↑↑↑ per  $\textcircled{e}$

# Composite fermion

$\nu = 1/3$  FQH



per  $\textcircled{e}$

average

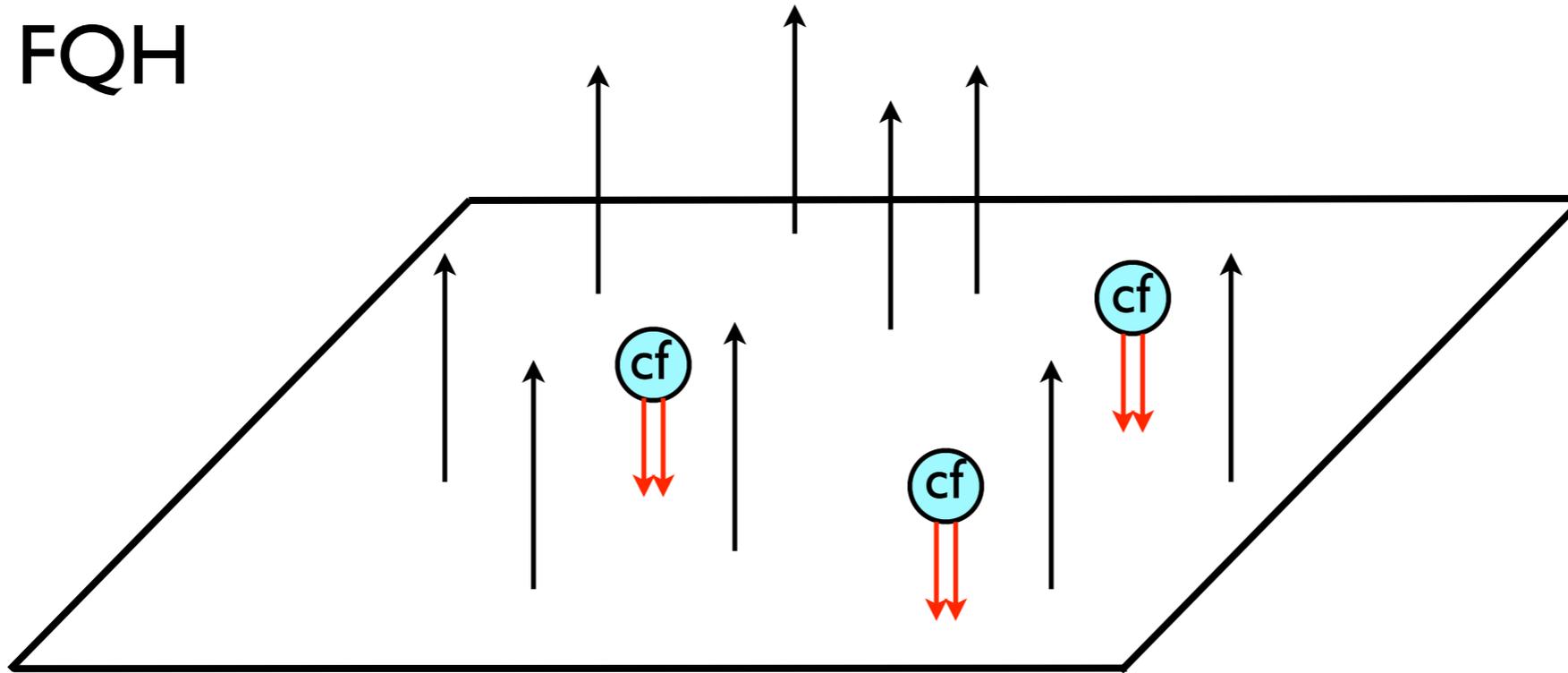


per



# Composite fermion

$\nu = 1/3$  FQH



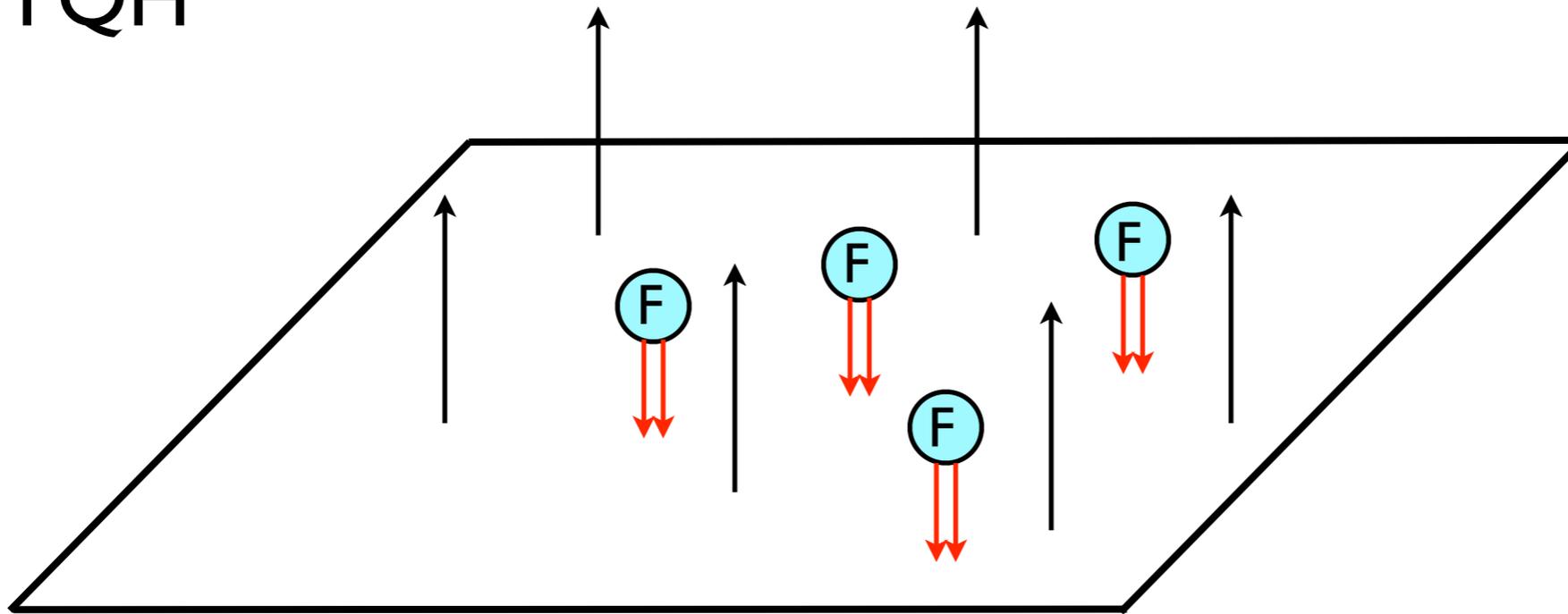
↑↑↑ per  $e$

average ↑ per  $cf$

IQHE of CFs with  $\nu=1$

# Composite fermion

$\nu = 2/3$  FQH

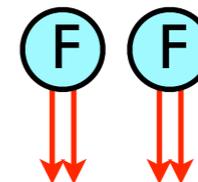


per  $\textcircled{F} \textcircled{F}$

average



per



FQHE for  
original fermions

=

IQHE for  
composite fermions ( $n=2$ )

# Jain's sequence of plateaux

- Using the composite fermion most observed fractions can be explained

Electrons

$$\nu = \frac{n}{2n + 1}$$

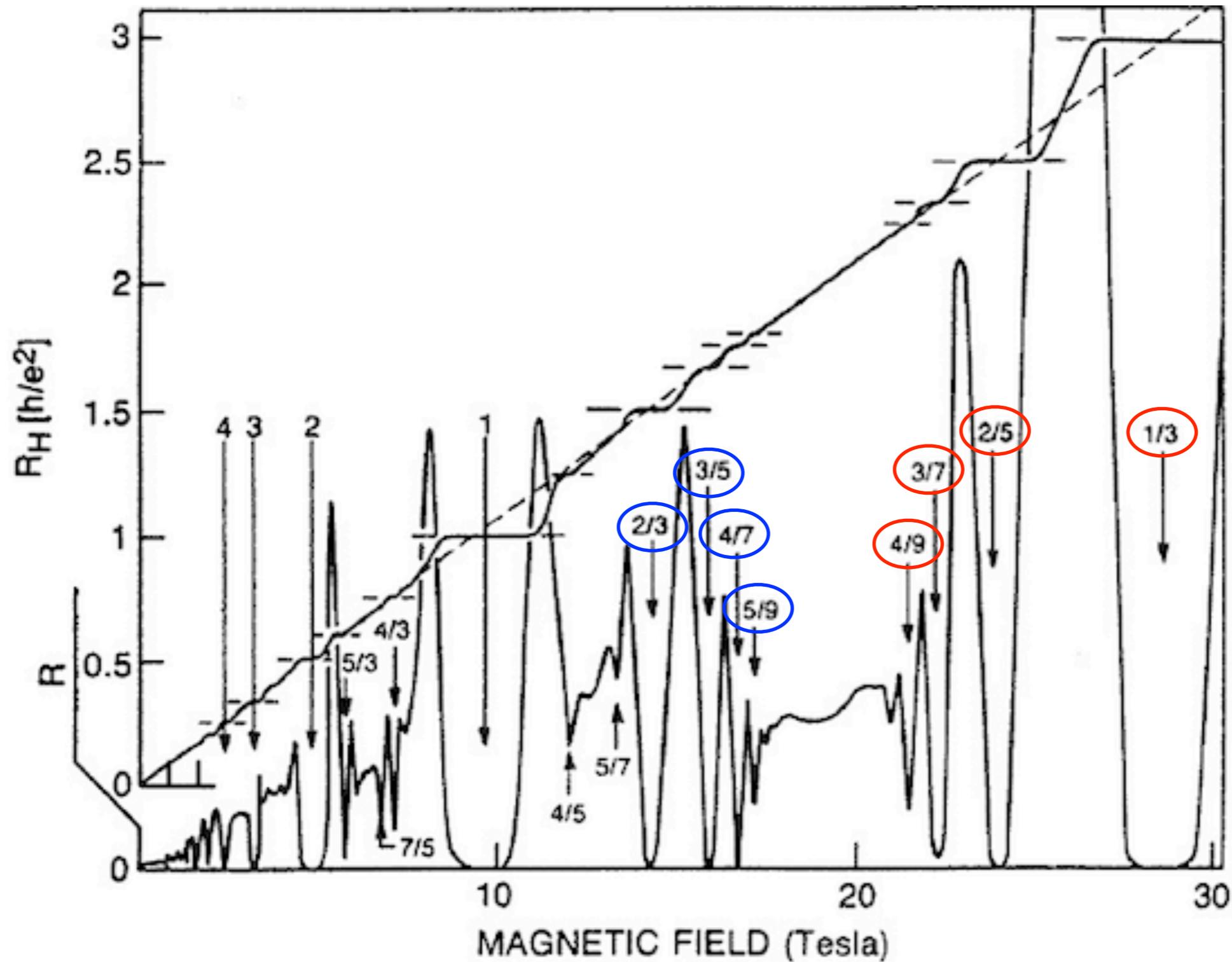
$$\nu = \frac{n + 1}{2n + 1}$$

Composite fermions

$$\nu_{\text{CF}} = n$$

$$\nu_{\text{CF}} = n + 1$$

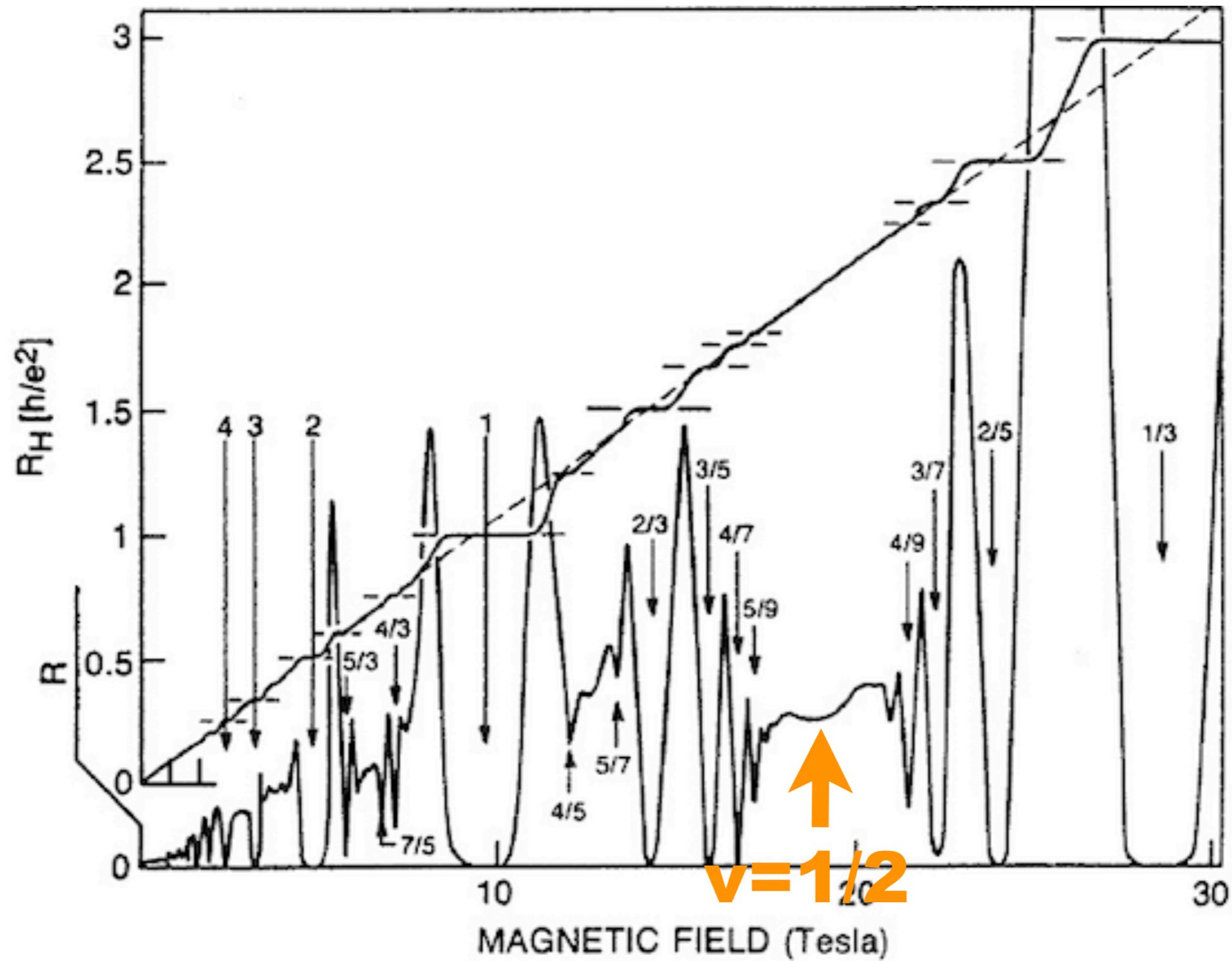
# Jain's sequences of plateaux



$$\nu = \frac{n+1}{2n+1}$$

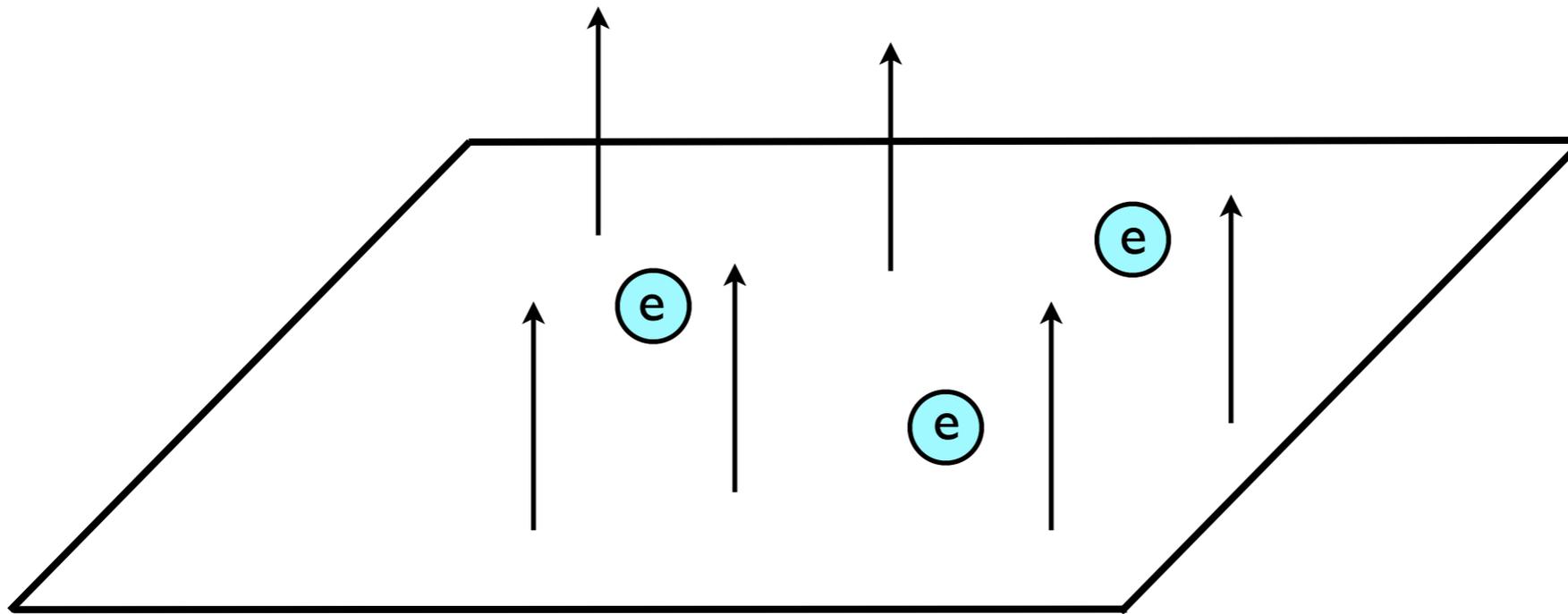
$$\nu = \frac{n}{2n+1}$$

$$\nu = 1/2$$



# $\nu = 1/2$ state

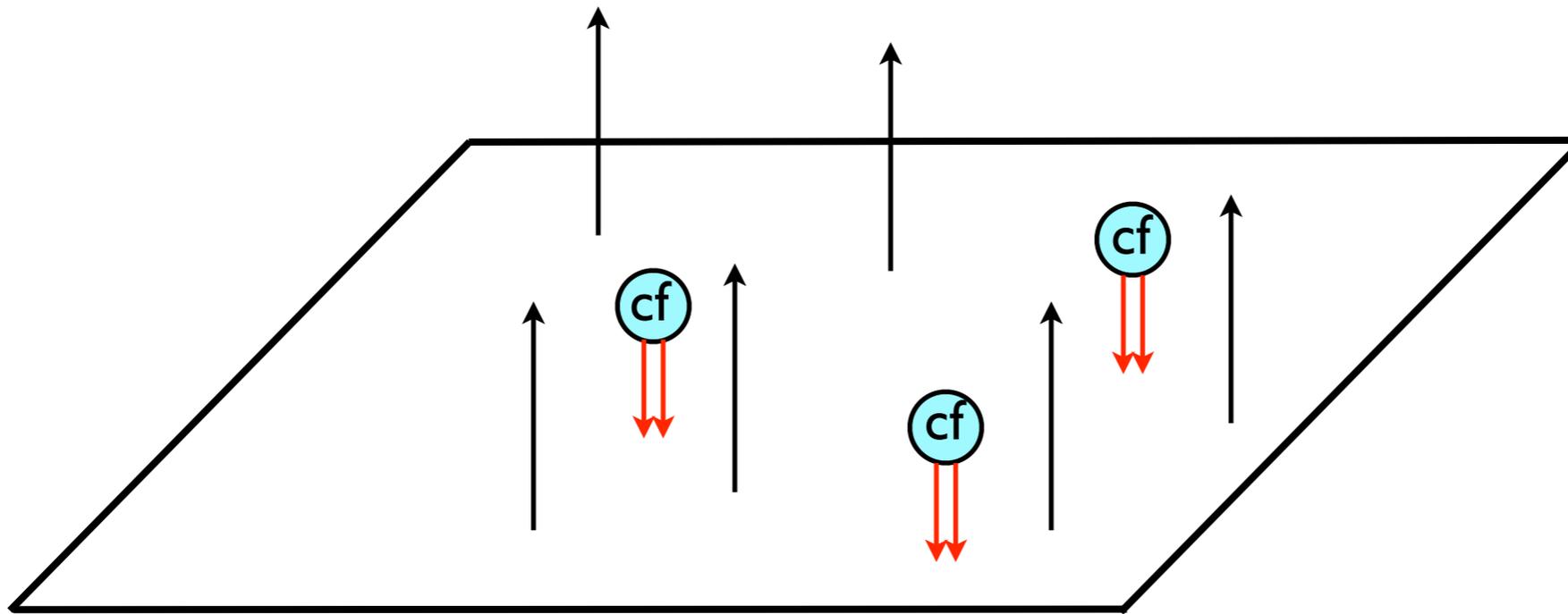
Halperin Lee Read



↑↑ per e

# $\nu = 1/2$ state

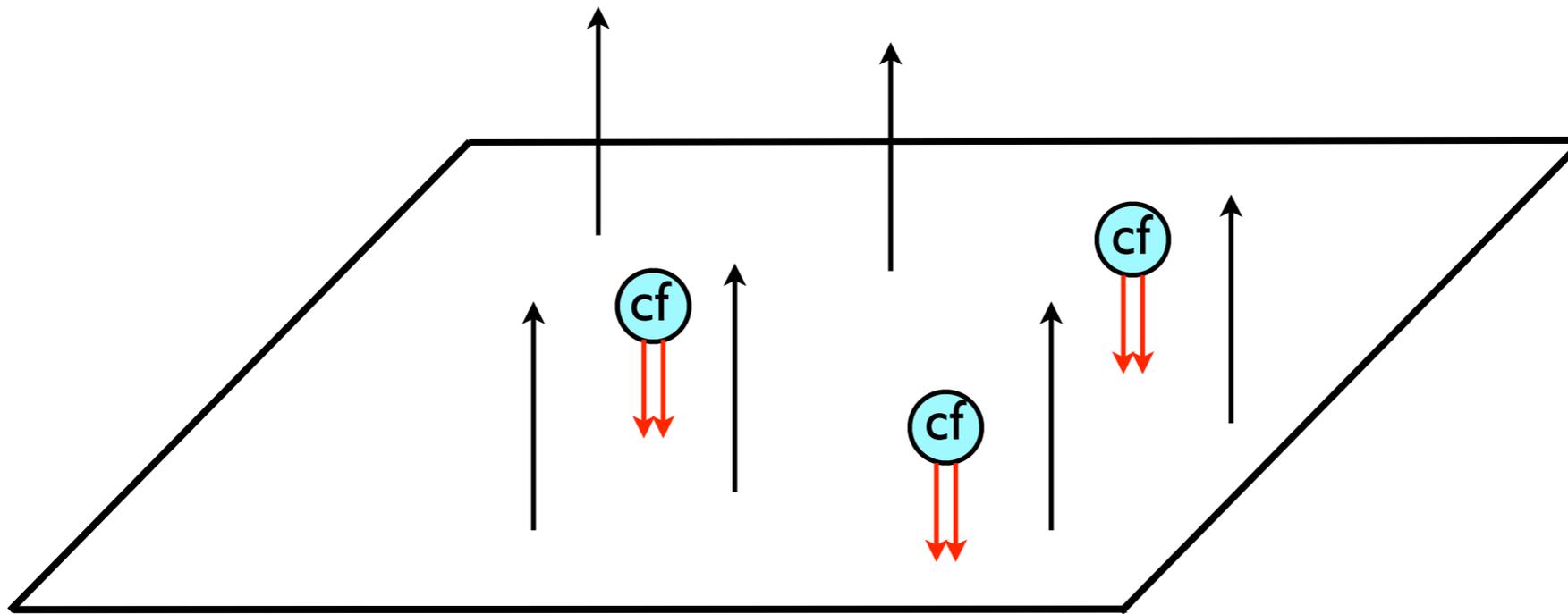
Halperin Lee Read



↑↑ per  $\odot e$

# $\nu = 1/2$ state

Halperin Lee Read

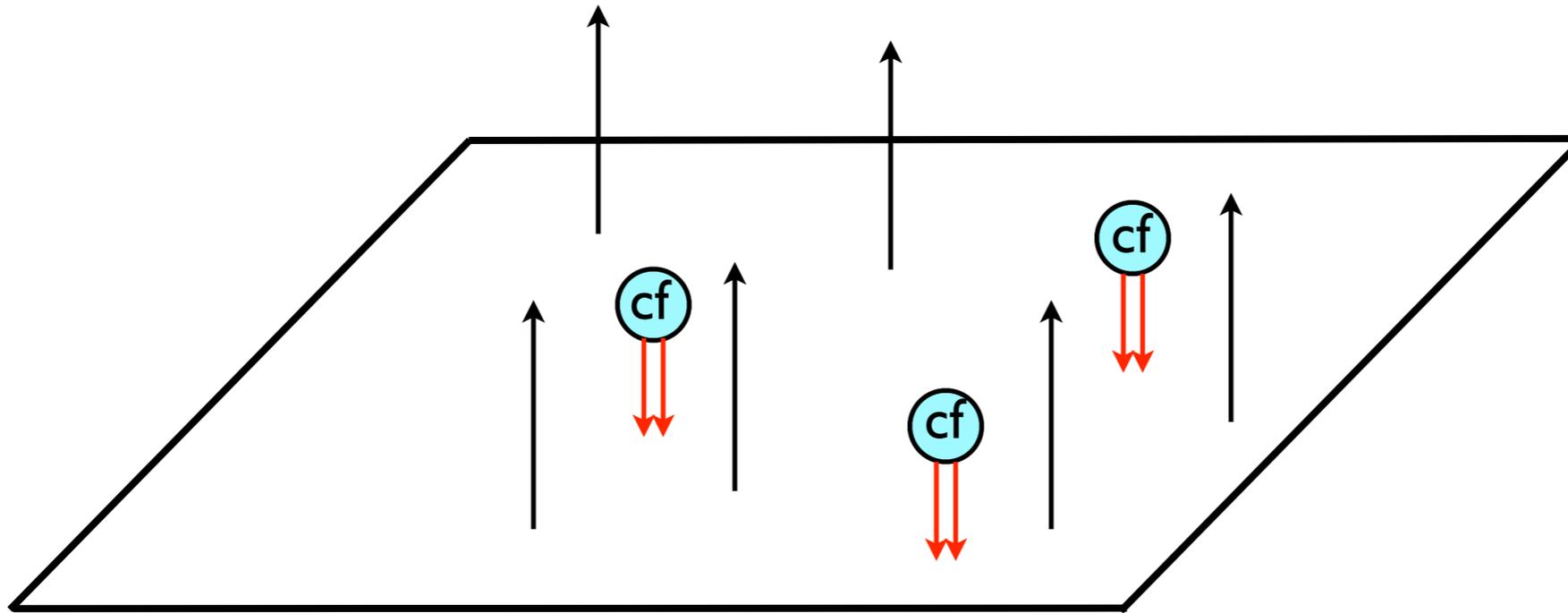


↑↑ per  $\odot e$

Zero B field for  $\odot cf$

# $\nu = 1/2$ state

Halperin Lee Read



↑↑ per  $\odot e$

Zero B field for  $\odot cf$

CFs form a gapless Fermi liquid: HLR field theory

# HLR field theory

$$\mathcal{L} = i\psi^\dagger(\partial_0 - iA_0 + ia_0)\psi - \frac{1}{2m}|(\partial_i - iA_i + ia_i)\psi|^2 + \frac{1}{2} \frac{1}{4\pi} \epsilon^{\mu\nu\lambda} a_\mu \partial_\nu a_\lambda$$

$$b = \nabla \times a = 2 \times 2\pi\psi^\dagger\psi$$

Can be understood as a low-energy effective theory.

Two main features:

- number of CFs = number of electrons
- Chern-Simons action for gauge field  $a$

Both comes with the flux attachment procedure

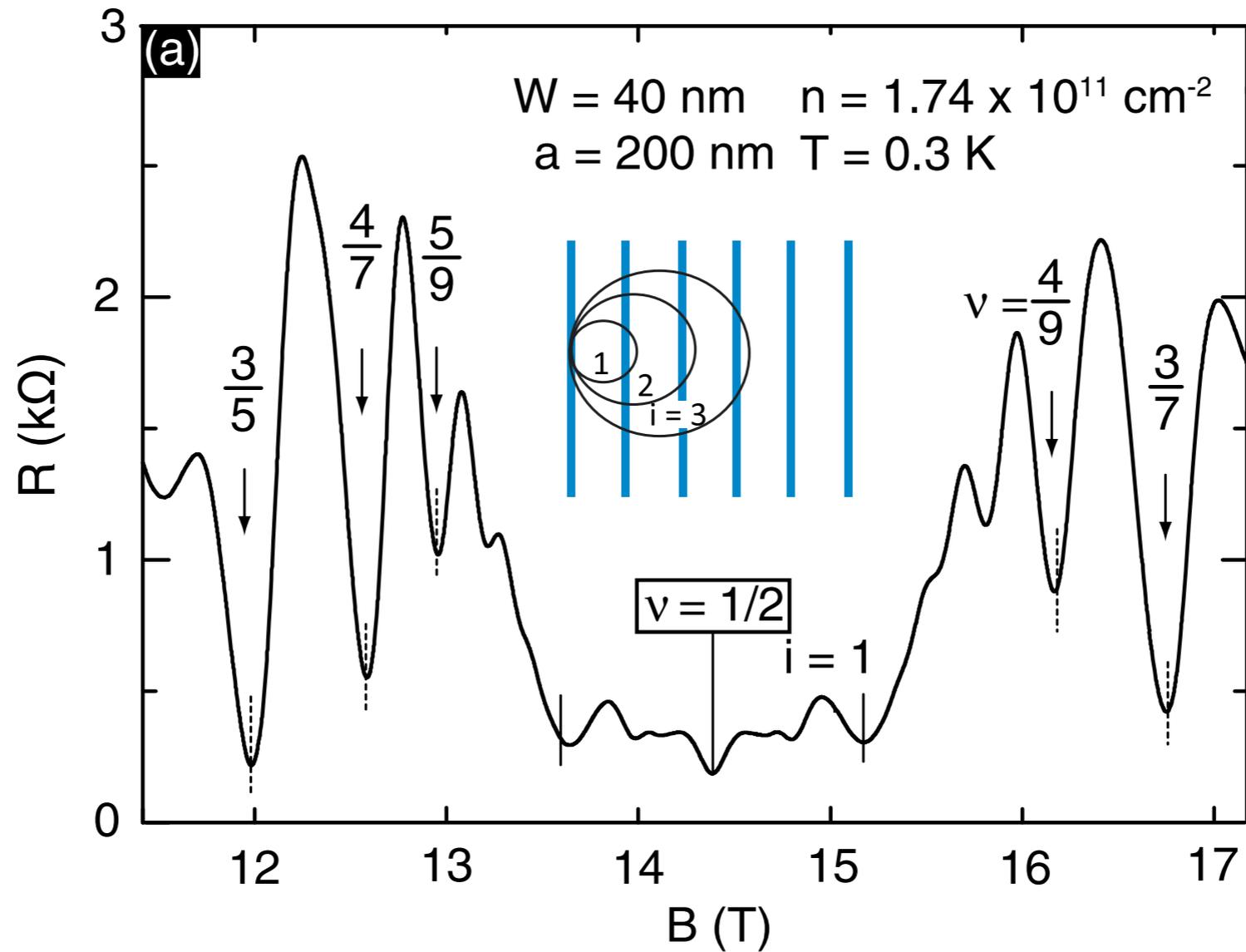
**End of flux attachment**

# Is the composite fermion real?

- The most amazing fact about the composite fermion is that it exists!
- One way to detect the composite fermion:
  - go slightly away from half filling: composite fermions live in small magnetic field and move in large circular orbits

Kang et al, 1993

# How real are the CFs ?



(Shayegan's group, 2014)

- Despite its success, the HLR theory suffers from a flaw: lack of particle-hole symmetry

# Particle-hole symmetry



PH symmetry



$$\nu \rightarrow 1 - \nu$$

$$\nu = \frac{1}{2} \rightarrow \nu = \frac{1}{2}$$

$$\Theta |\text{empty}\rangle = |\text{full}\rangle$$

$$\Theta c_k^\dagger \Theta^{-1} = c_k$$

$$\Theta i \Theta^{-1} = -i$$

In the LLL limit: exact symmetry the Hamiltonian

# PH symmetry in the CF theory

PH conjugate pairs of FQH states

$$\nu = \frac{n}{2n+1}$$

$$\nu = \frac{n+1}{2n+1}$$



$$\nu = 1/3$$



$$\nu = 2/3$$

# PH symmetry in the CF theory

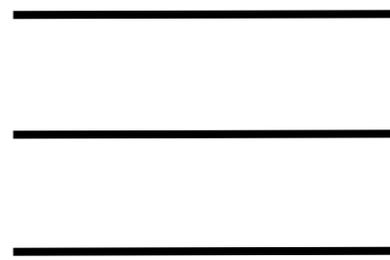
PH conjugate pairs of FQH states

$$\nu = \frac{n}{2n+1}$$

$$\nu = \frac{n+1}{2n+1}$$



$$\nu = 2/5$$



$$\nu = 3/5$$

# PH symmetry in the CF theory

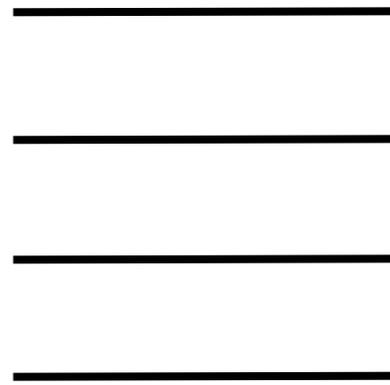
PH conjugate pairs of FQH states

$$\nu = \frac{n}{2n+1}$$



$$\nu = 3/7$$

$$\nu = \frac{n+1}{2n+1}$$



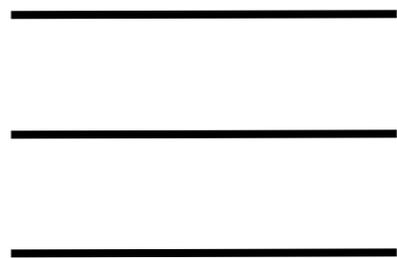
$$\nu = 4/7$$

# PH symmetry in the CF theory

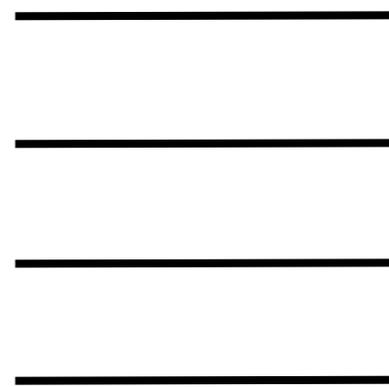
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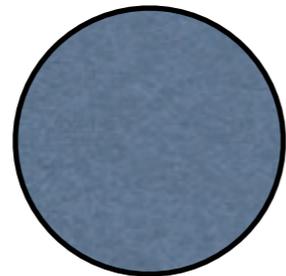
$$\nu = 3/7$$



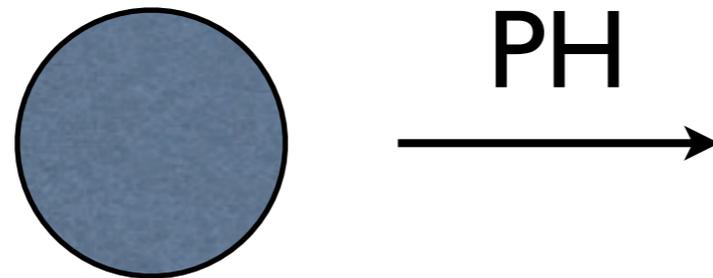
$$\nu = 4/7$$

CF picture does not respect PH symmetry

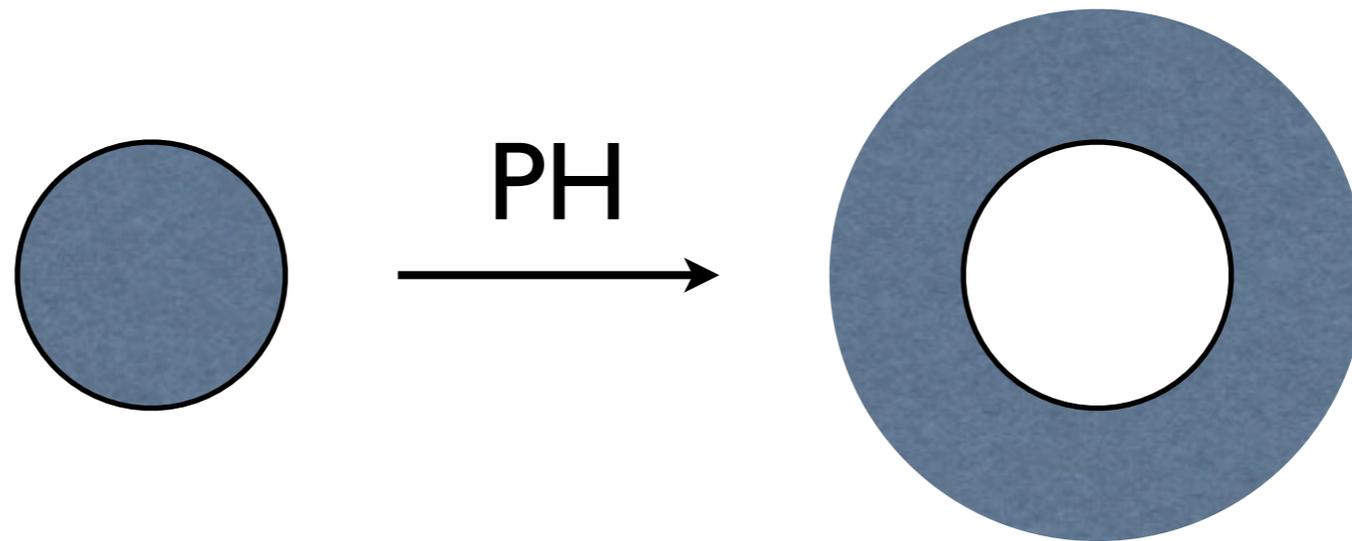
# PH symmetry of CF Fermi liquid?



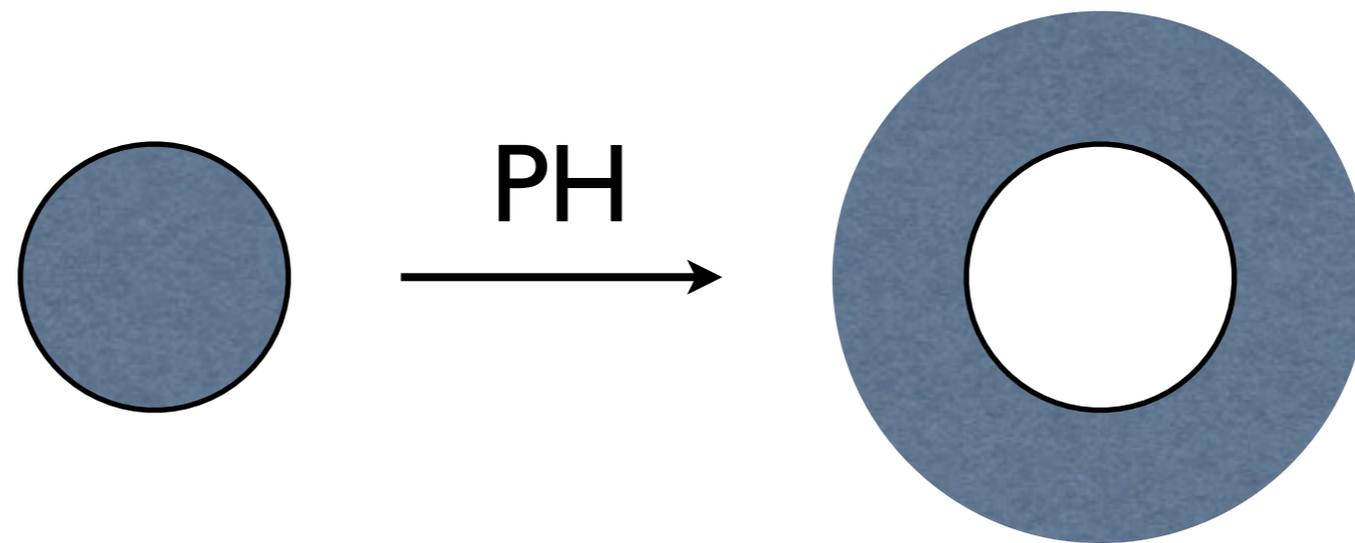
# PH symmetry of CF Fermi liquid?



# PH symmetry of CF Fermi liquid?



# PH symmetry of CF Fermi liquid?



Related: no simple description of the anti-Pfaffian

- The particle-hole asymmetry of the HLR theory has been noticed early on 1997-1998 Kivelson, D-H Lee, Krotov, Gan
- The issue periodically came up, but no conclusive resolution has emerged
- 2007: anti-Pfaffian state Levin, Halperin, Rosenow; Lee, Ryu, Nayak, Fisher

# Why PH symmetry is difficult

- Particle-hole symmetry is not a symmetry of nonrelativistic fermions in a magnetic field (TOE)
- Only appears after projection to the lowest Landau level (SM)
- Not realized as a local operation on the fields

# Three possibilities

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- Hidden symmetry of HLR theory

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- Spontaneous breaking of particle-hole symmetry  
Barkeshli, Fisher, Mulligan 2015.

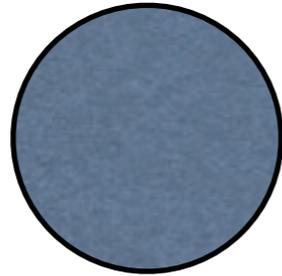
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- By now: considerable numerical evidence against it

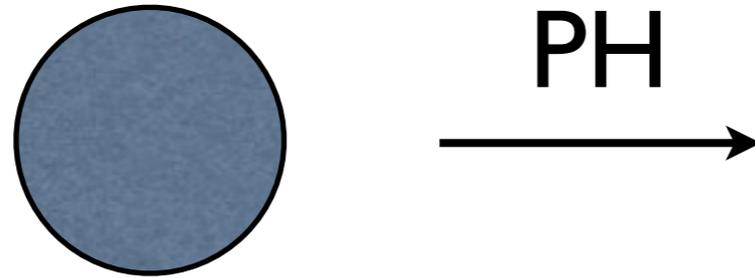
# Three possibilities

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  - careful analysis of Kivelson et al (1997) showed no sign of it
- Spontaneous breaking of particle-hole symmetry  
Barkeshli, Fisher, Mulligan 2015.
- By now: considerable numerical evidence against it
- The correct theory is a different theory, which realizes particle-hole symmetry in an explicit way

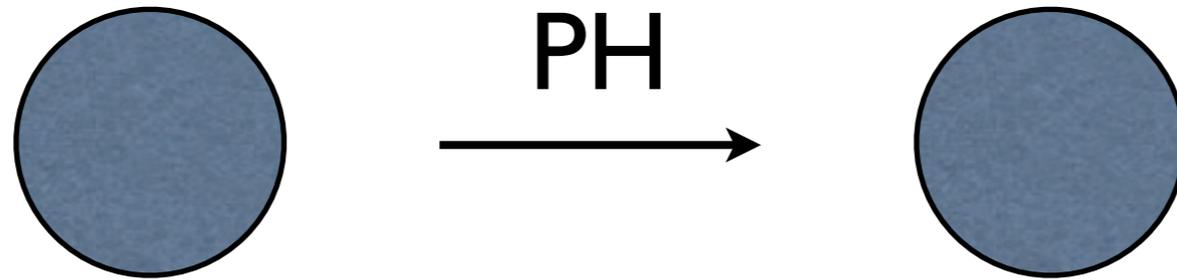
# Dirac composite fermion



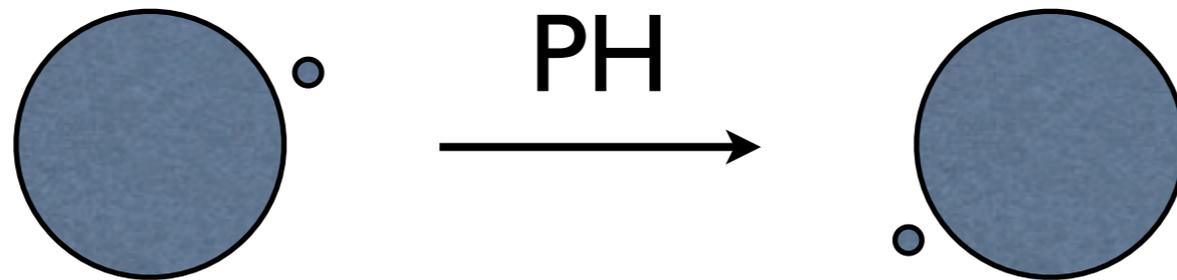
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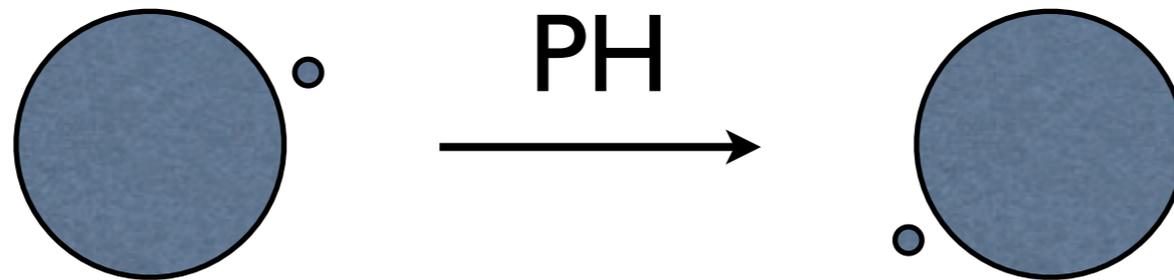
# Dirac composite fermion



# Dirac composite fermion



# Dirac composite fermion



The composite fermion is a massless Dirac fermion  
Particle-hole symmetry acts as time reversal

$$\mathbf{k} \rightarrow -\mathbf{k}$$

$$\psi \rightarrow i\sigma_2\psi$$

# First hint of Dirac nature of CFs

$$\nu = \frac{n}{2n+1} \longrightarrow \nu_{\text{CF}} = n$$

$$\nu = \frac{n+1}{2n+1} \longrightarrow \nu_{\text{CF}} = n+1$$

# First hint of Dirac nature of CFs

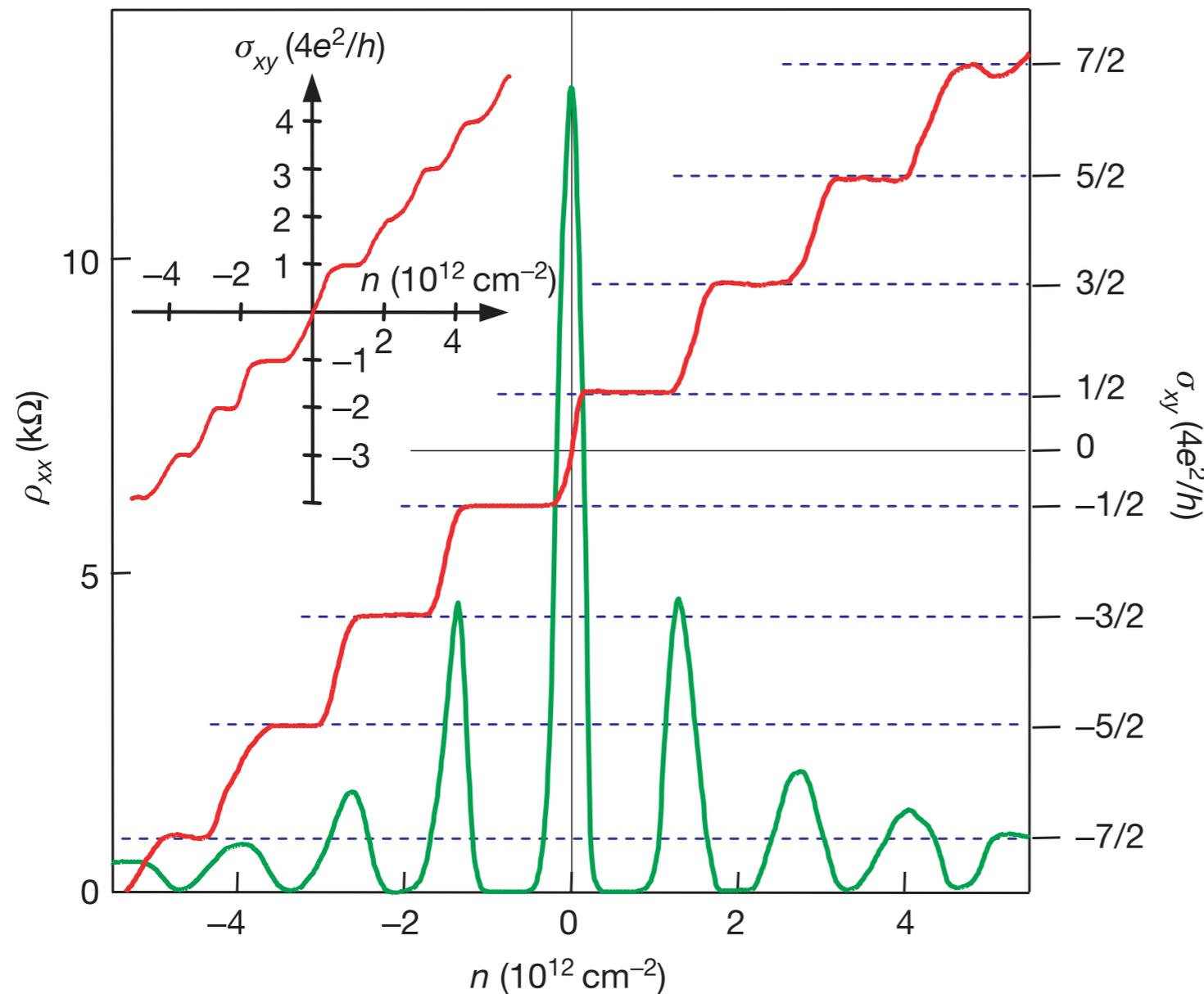
$$\begin{array}{l} \nu = \frac{n}{2n+1} \\ \nu = \frac{n+1}{2n+1} \end{array} \begin{array}{l} \nearrow \\ \nearrow \end{array} \nu_{\text{CF}} = n + \frac{1}{2} ?$$

# First hint of Dirac nature of CFs

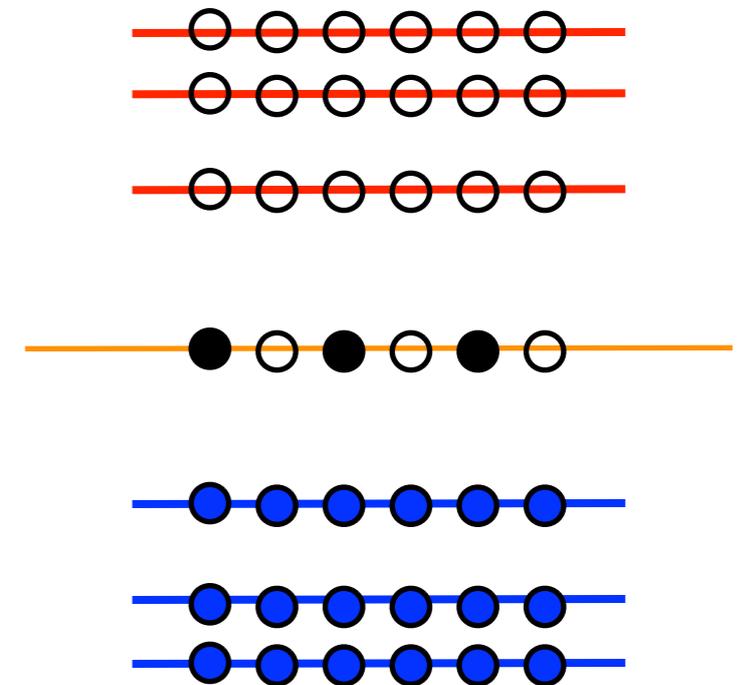
$$\begin{array}{l} \nu = \frac{n}{2n+1} \\ \nu = \frac{n+1}{2n+1} \end{array} \rightarrow \nu_{\text{CF}} = n + \frac{1}{2} ?$$

CFs form an IQH state at half-integer filling factor:  
must be a massless Dirac fermion

# IQHE in graphene



$$\sigma_{xy} = \left( n + \frac{1}{2} \right) \frac{e^2}{2\pi\hbar}$$



**Figure 4 | QHE for massless Dirac fermions.** Hall conductivity  $\sigma_{xy}$  and longitudinal resistivity  $\rho_{xx}$  of graphene as a function of their concentration at  $B = 14 \text{ T}$  and  $T = 4 \text{ K}$ .  $\sigma_{xy} \equiv (4e^2/h)\nu$  is calculated from the measured

Novoselov et al 2005

# (Particle-hole)<sup>2</sup>



$\Theta$

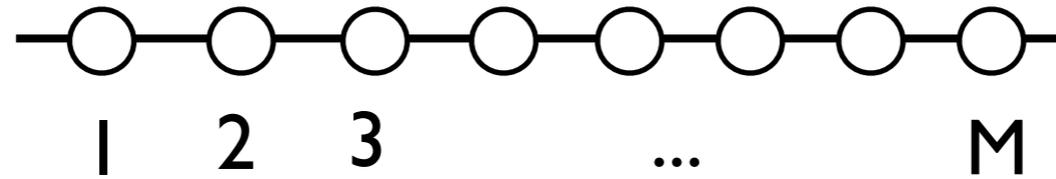


$\Theta$



$$\Theta^2 = \pm 1$$

Geraedts, Zaletel, Mong, Metlitski, Vishwanath, Motrunich |508.04|40  
Levin, Son 2015 (unpublished)

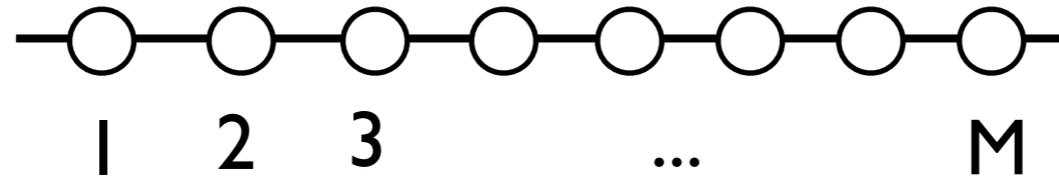


$$\Theta|\text{empty}\rangle = |\text{full}\rangle = c_1^\dagger c_2^\dagger \cdots c_M^\dagger |\text{empty}\rangle$$

$$\Theta c_k^\dagger \Theta^{-1} = c_k$$

**anti-unitary**

Geraedts, Zaletel, Mong, Metlitski, Vishwanath, Motrunich |508.04|40  
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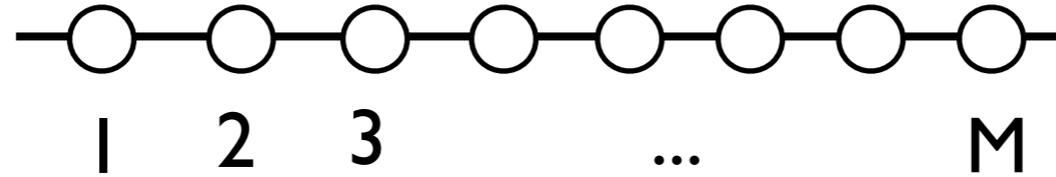
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**anti-unitary**

$$\Theta^2 = (-1)^{M(M-1)/2}$$

Geraedts, Zaletel, Mong, Metlitski, Vishwanath, Motrunich |508.04|40  
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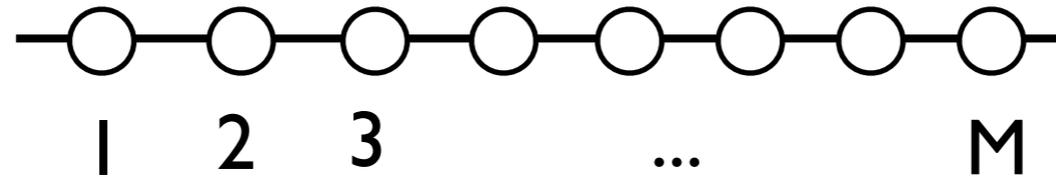
**anti-unitary**

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$$M = 2N_{\text{CF}}$$

$$\Theta^2 = (-1)^{N_{\text{CF}}}$$

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$$\Theta|\text{empty}\rangle = |\text{full}\rangle = c_1^\dagger c_2^\dagger \cdots c_M^\dagger |\text{empty}\rangle$$

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$$M = 2N_{\text{CF}}$$

$$\Theta^2 = (-1)^{N_{\text{CF}}}$$

$\Theta$  acts as time reversal on the Dirac composite fermion

$$\psi \rightarrow (i\sigma_2)\psi \rightarrow (i\sigma_2)^2\psi = -\psi$$

# Problem with flux attachment

- For an even number of orbitals on the LLL,

$$\Theta^2|\text{any}\rangle = (-1)^{M/2}|\text{any}\rangle$$

- **independent** of the number of electrons in  $|\text{any}\rangle$
- Number of CFs = half the degeneracy of the LLL
  - does not coincide with the number of electrons away from half filling
- This goes against the philosophy of flux attachment

# Tentative picture

$$\mathcal{L} = i\bar{\psi}\gamma^\mu(\partial_\mu + 2ia_\mu)\psi + \frac{1}{2\pi}\epsilon^{\mu\nu\lambda}A_\mu\partial_\nu a_\lambda + \frac{1}{8\pi}\epsilon^{\mu\nu\lambda}A_\mu\partial_\nu A_\lambda$$

- Instead of flux attachment, the CF appears through particle-vortex duality
- A known duality in the case of bosons, but in the case of fermion would be new
- $\psi$  is a massless Dirac fermion
  - $ada$  and fermion mass term would break particle-hole symmetry
- Jain sequences not affected

# Particle-vortex duality

original fermion

composite fermion

magnetic field

density

density

magnetic field

$$\mathcal{L} = i\bar{\psi}\gamma^\mu(\partial_\mu + 2ia_\mu)\psi + \frac{1}{2\pi}\epsilon^{\mu\nu\lambda}A_\mu\partial_\nu a_\lambda + \frac{1}{8\pi}\epsilon^{\mu\nu\lambda}A_\mu\partial_\nu A_\lambda$$

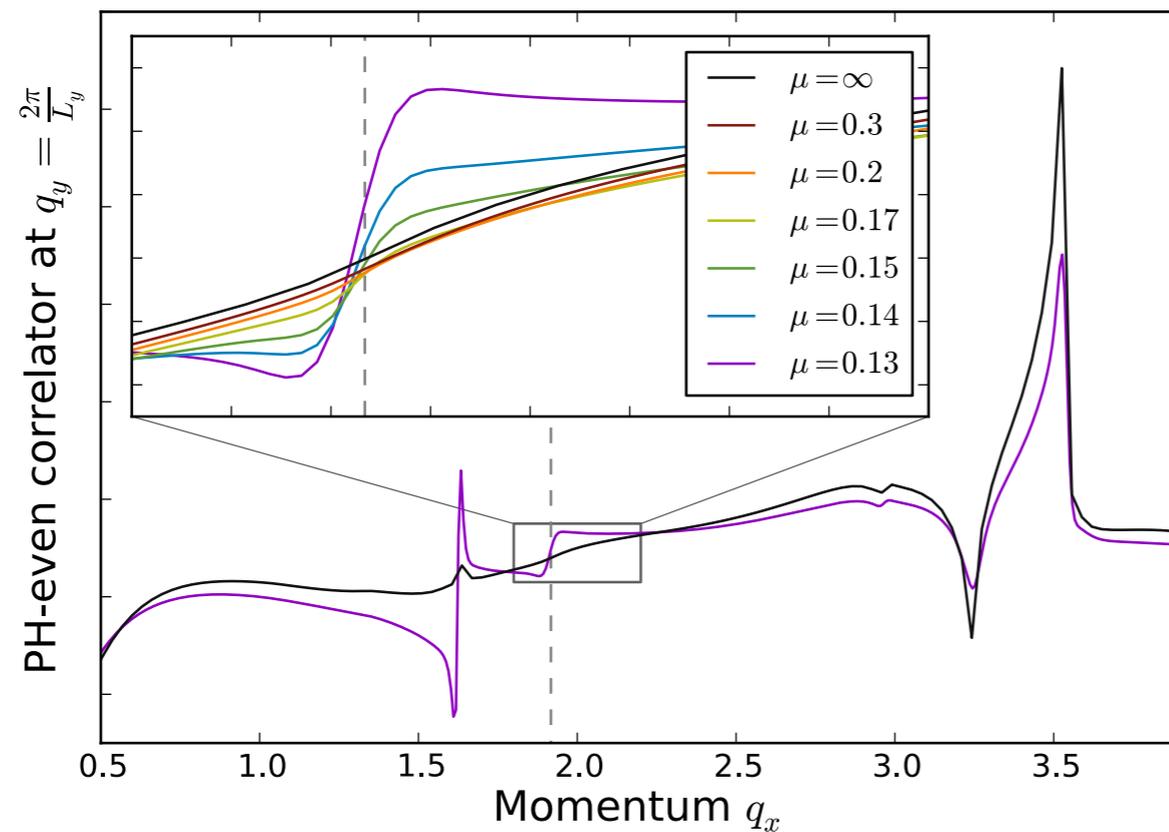
$$j^\mu = \frac{\delta S}{\delta A_\mu} = \frac{1}{2\pi}\epsilon^{\mu\nu\lambda}\partial_\nu a_\lambda + \frac{1}{4\pi}\epsilon^{\mu\nu\lambda}\partial_\nu A_\lambda$$

$$\frac{\delta S}{\delta a_0} = 0 \longrightarrow \langle \psi\bar{\gamma}^0\psi \rangle = \frac{B}{4\pi}$$

# Consequences of Dirac CF

If  $\hat{O}$  is particle-hole symmetric  
i.e.,  $\hat{O} = (\rho - \rho_0)\nabla^2\rho$

$$\langle -\mathbf{k}|\hat{O}|\mathbf{k}\rangle = 0$$



Suppression of Friedel  
oscillations

# Consequences of PH symmetry

$$\mathbf{j} = \sigma_{xx} \mathbf{E} + \sigma_{xy} \mathbf{E} \times \hat{\mathbf{z}} + \alpha_{xx} \nabla T + \alpha_{xy} \nabla T \times \hat{\mathbf{z}}$$

conductivities

thermoelectric  
coefficients

- At exact half filling, in the presence of particle-hole symmetric disorders

$$\sigma_{xy} = \frac{e^2}{2h}$$

$$\alpha_{xx} = 0$$

HLR

$$\rho_{xy} = \frac{2h}{e^2}$$

Potter, Serbyn, Vishwanath 2015

# Takegami's three stages

- Phenomenon: fractional quantum Hall plateaux
- Substance: composite fermion
- Essence: fermionic particle-vortex duality ?

# How to get to the Essence

- Lowest Landau Level algebra from Dirac fermions  
Shankar Murthy
- Duality in surfaces of topological insulators at zero magnetic field: free fermion=QED<sub>3</sub>? Metlitskii, Vishwanath; Wang, Senthil; Alicea, Essin, Mross, Motrunich...
- From supersymmetric duality Kachru et al
- General principles: anomaly matching, spin/charge relation Seiberg, Witten
- Experimental progress Wei Pan, W. Kang...